
Transformers

Thang Vu

15.01.2026

Outline

- Attention – A Recap
- Transformers
- BERT

Introduction

$$y^* = \arg \max_y p(y|x)$$

$$y' = \arg \max_y p(y|x, \theta)$$

model parameters

Questions we need to answer

- modeling

How does the model for $p(y|x, \theta)$ look like?

- learning

How to find θ ?

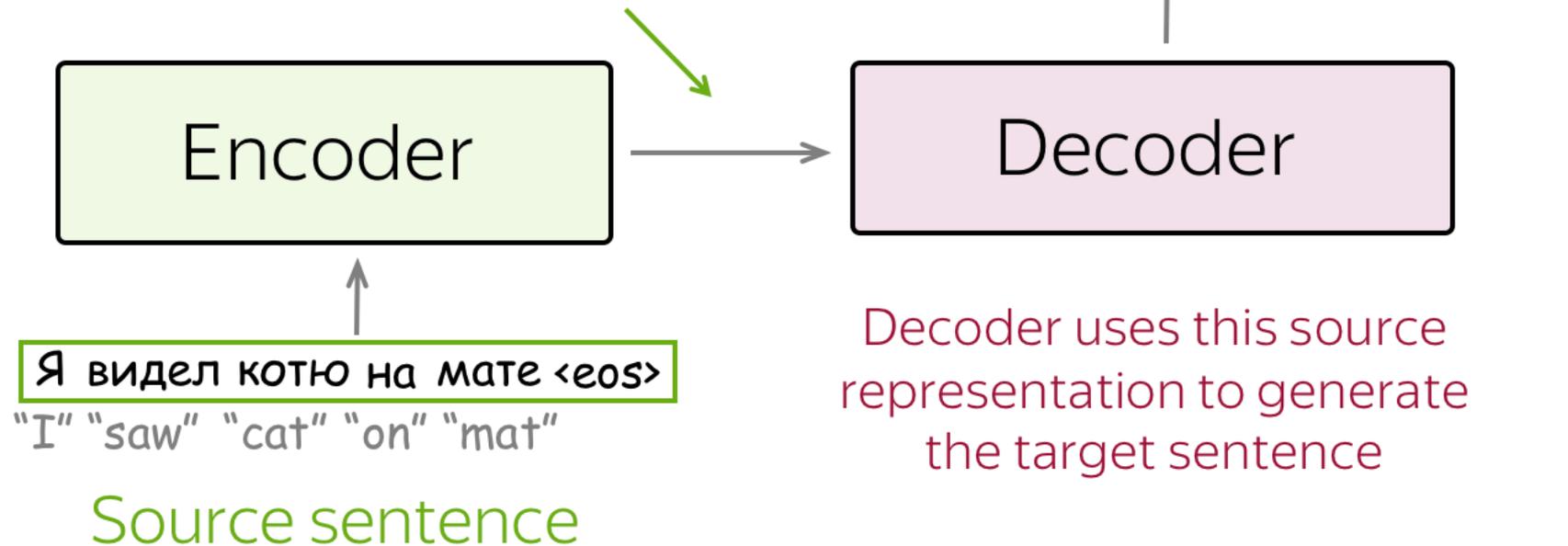
- search

How to find the argmax?

https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

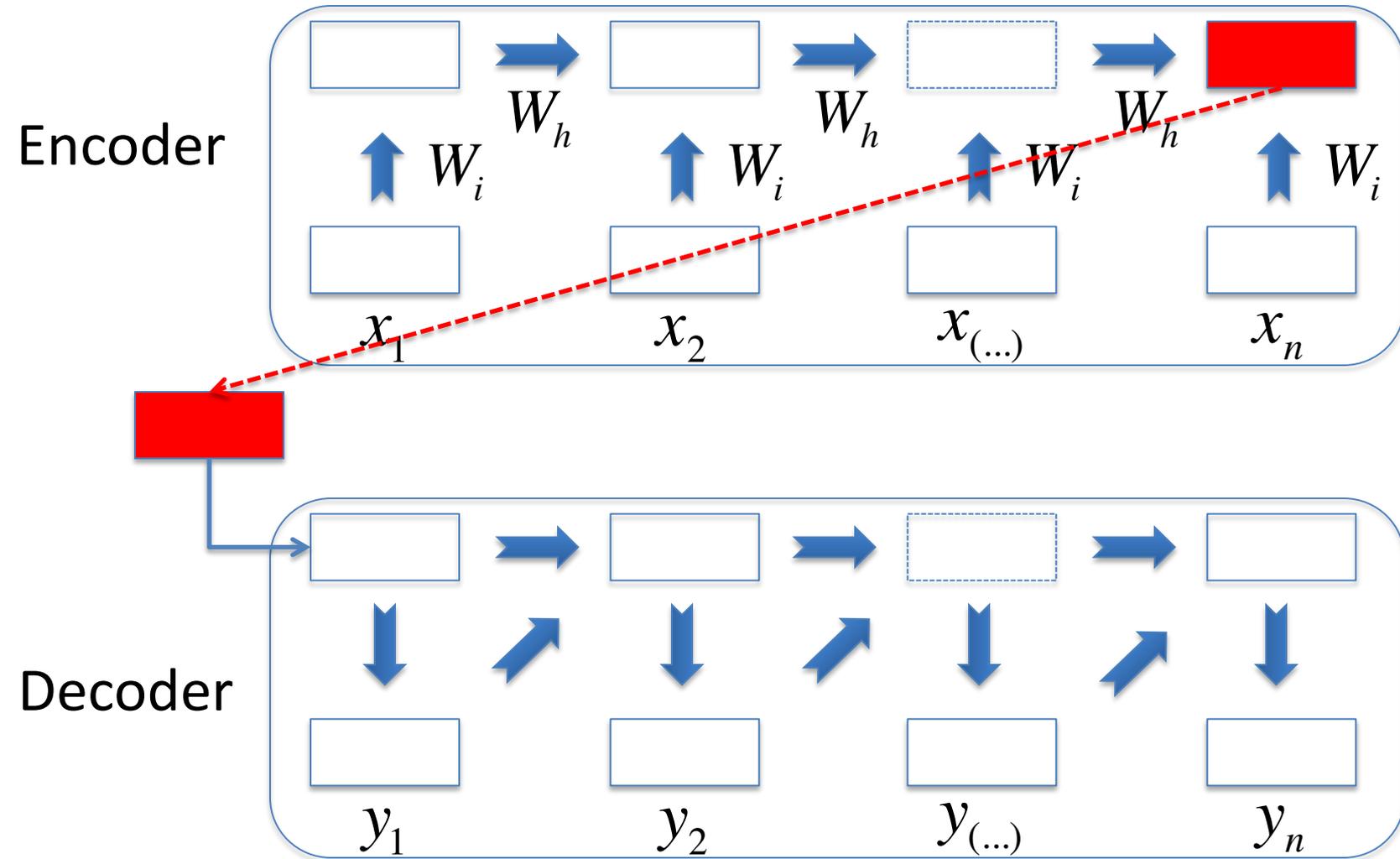
Introduction

Encoder builds a representation of the source and gives it to the decoder

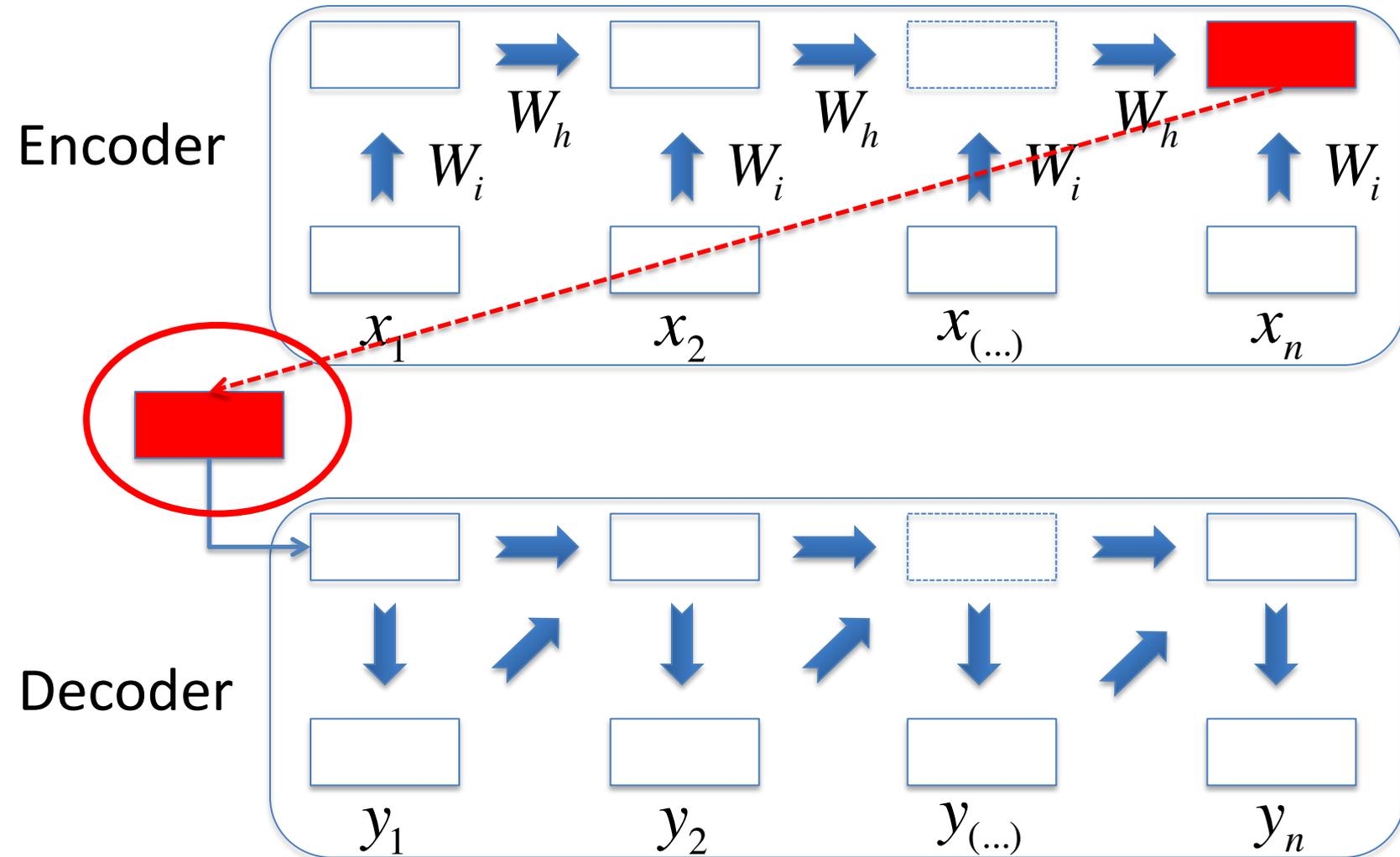


https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

Introduction



Introduction

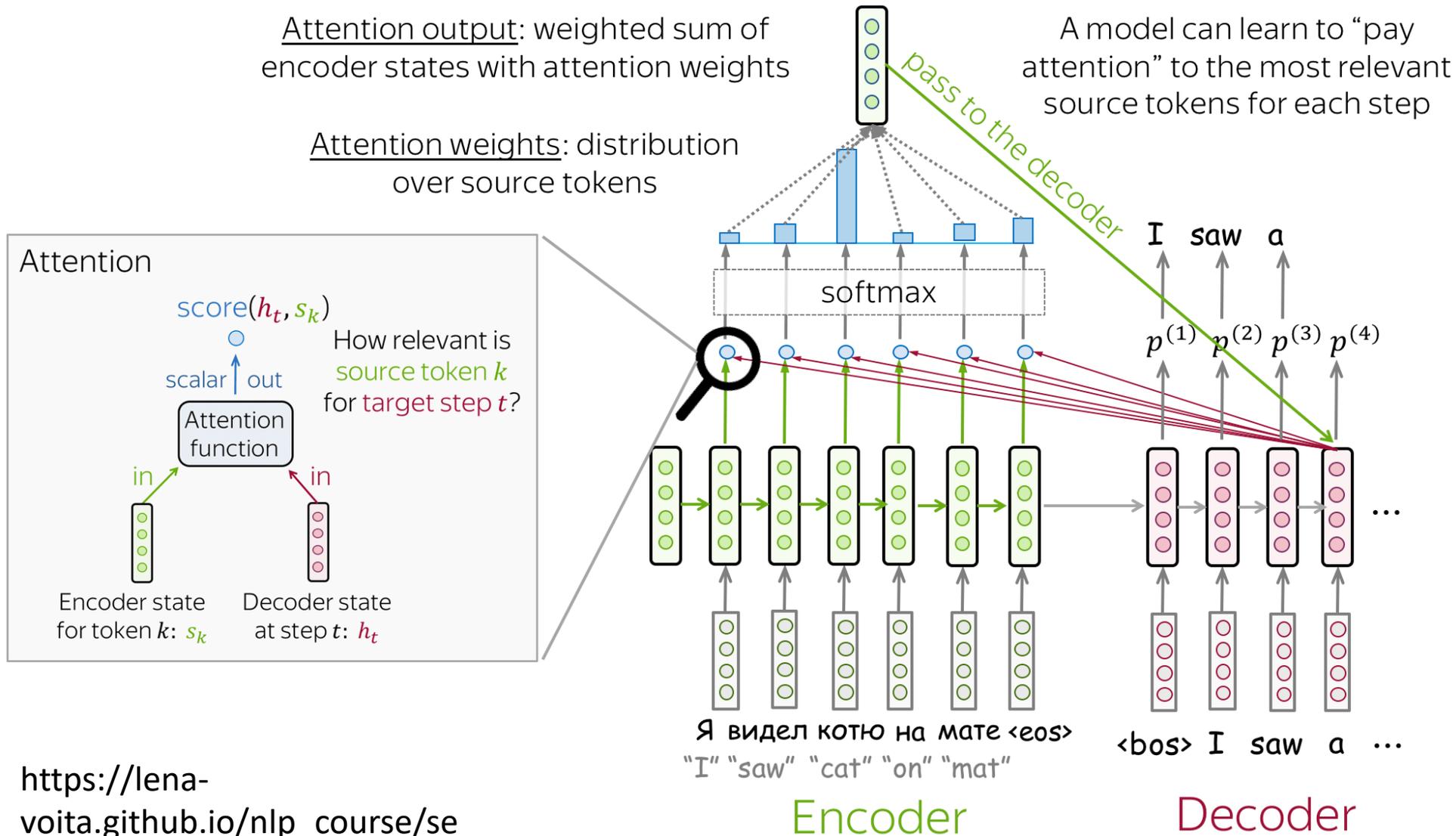


Attention Mechanism

Attention output: weighted sum of encoder states with attention weights

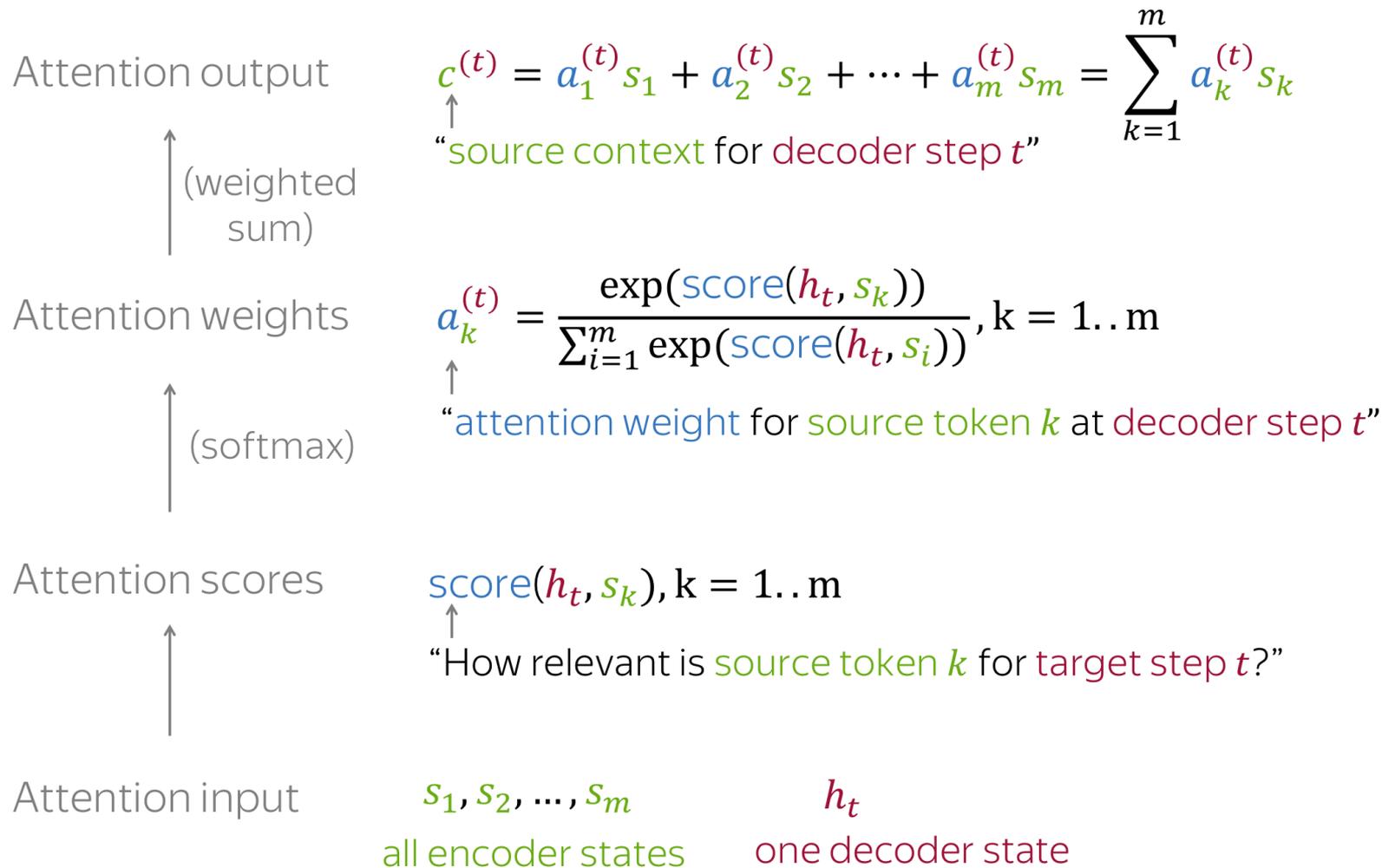
A model can learn to “pay attention” to the most relevant source tokens for each step

Attention weights: distribution over source tokens



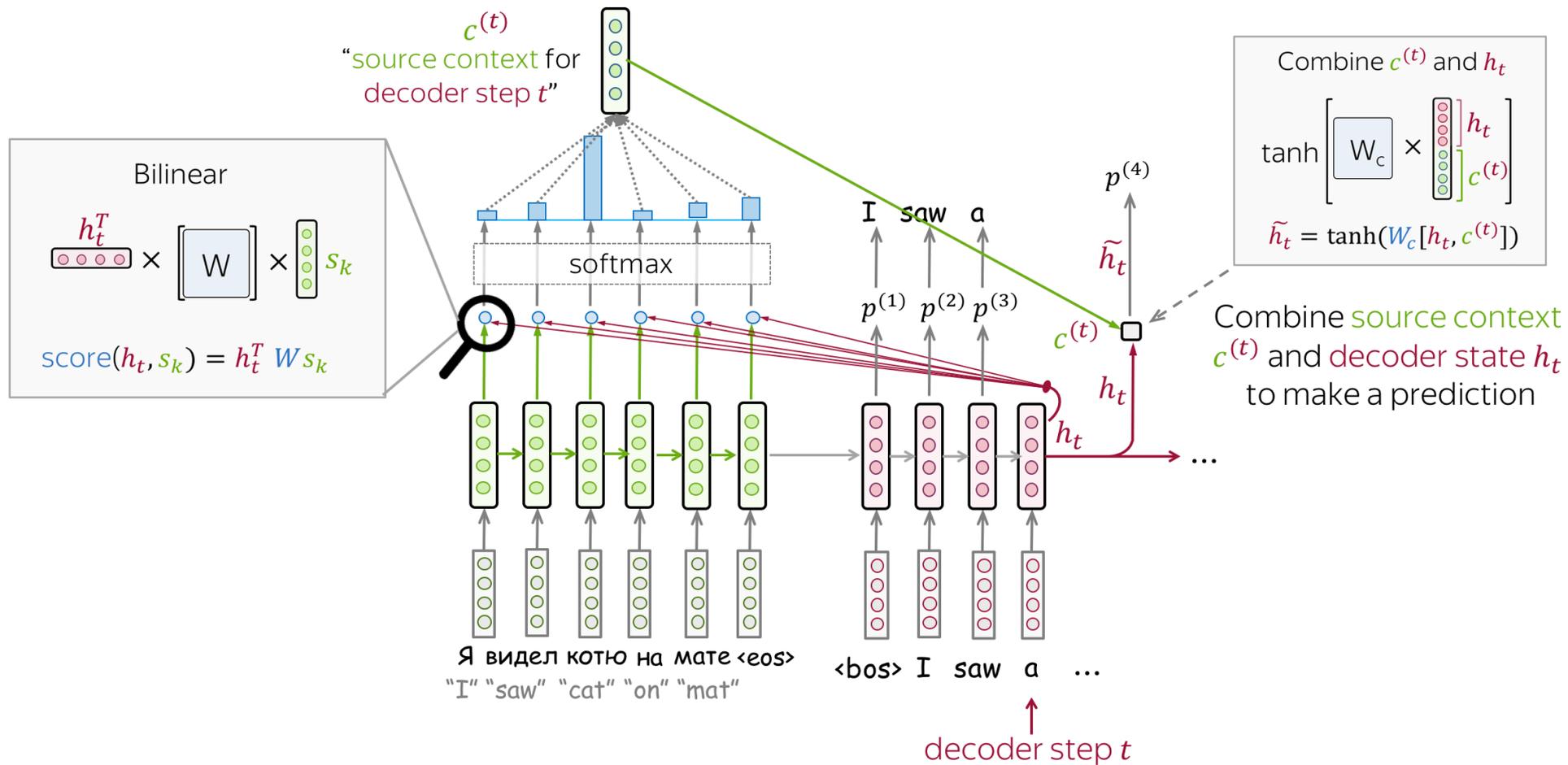
https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

Computational Process



https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

Luong et al 2015



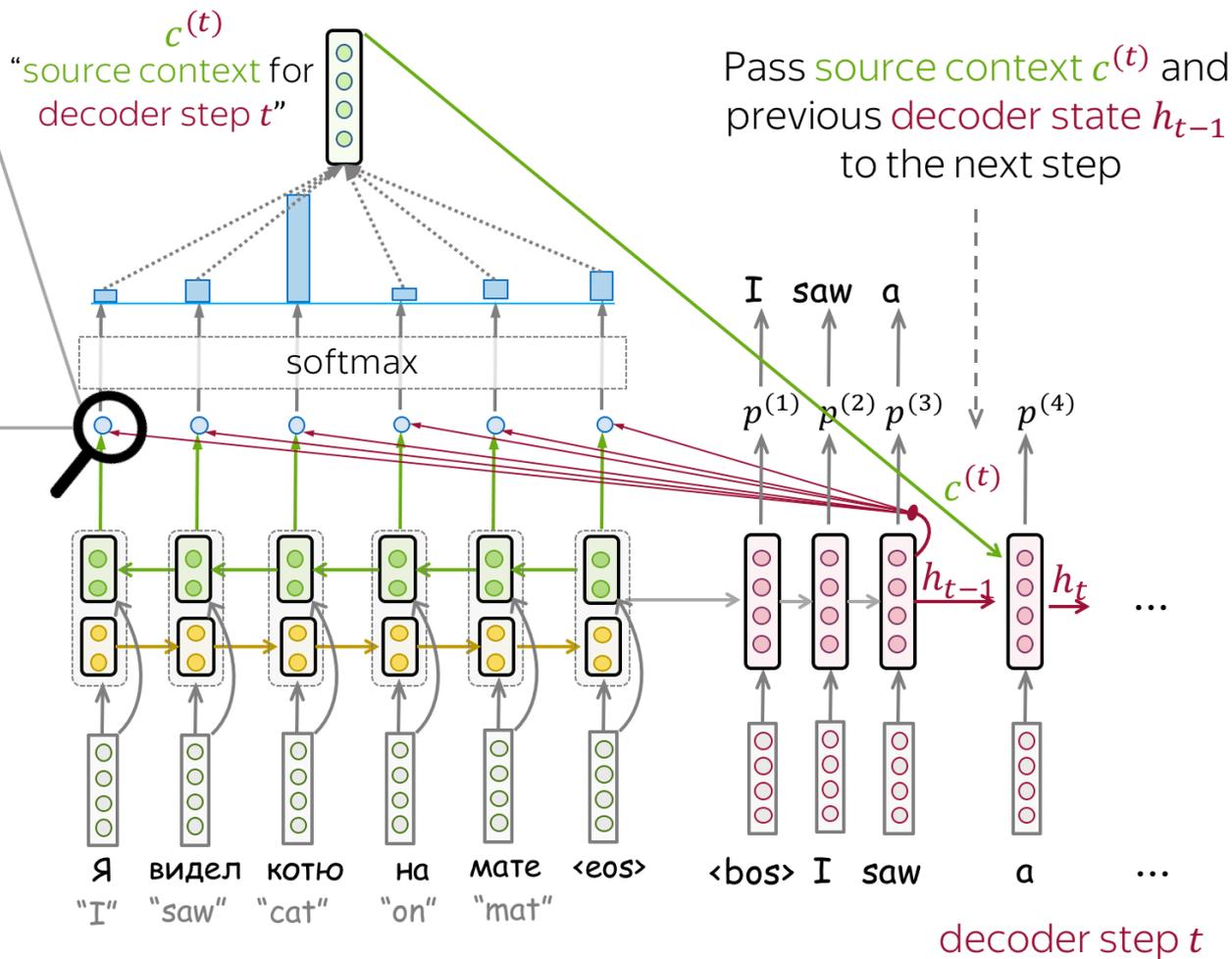
https://lenna-voita.github.io/nlp_course/seq2seq_and_attention.html

Bahdanau et al 2014 (2015)

Multi-Layer Perceptron

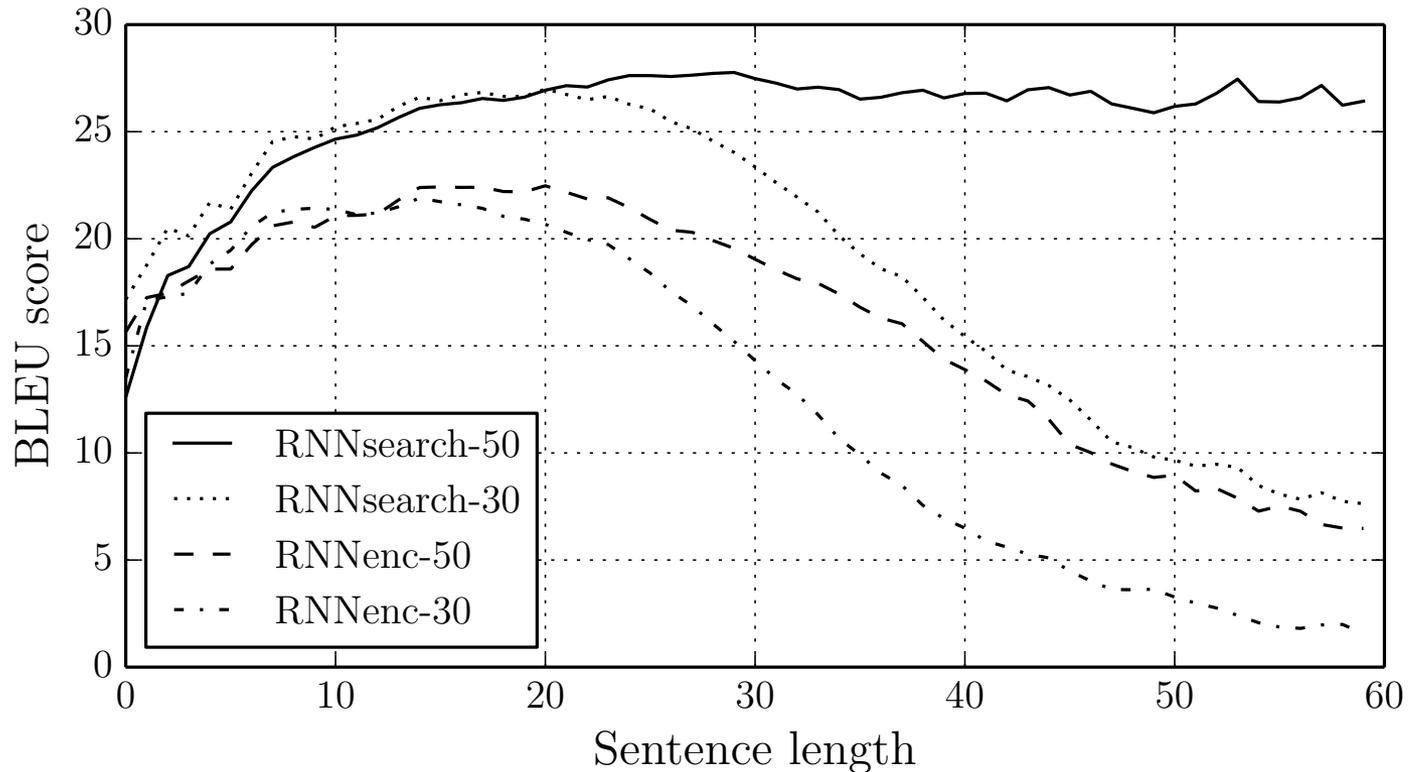
$$\text{score}(h, s_k) = w_2^T \cdot \tanh(W_1 [h, s_k])$$

Bidirectional encoder
 Concatenate states from
 forward and backward RNNs



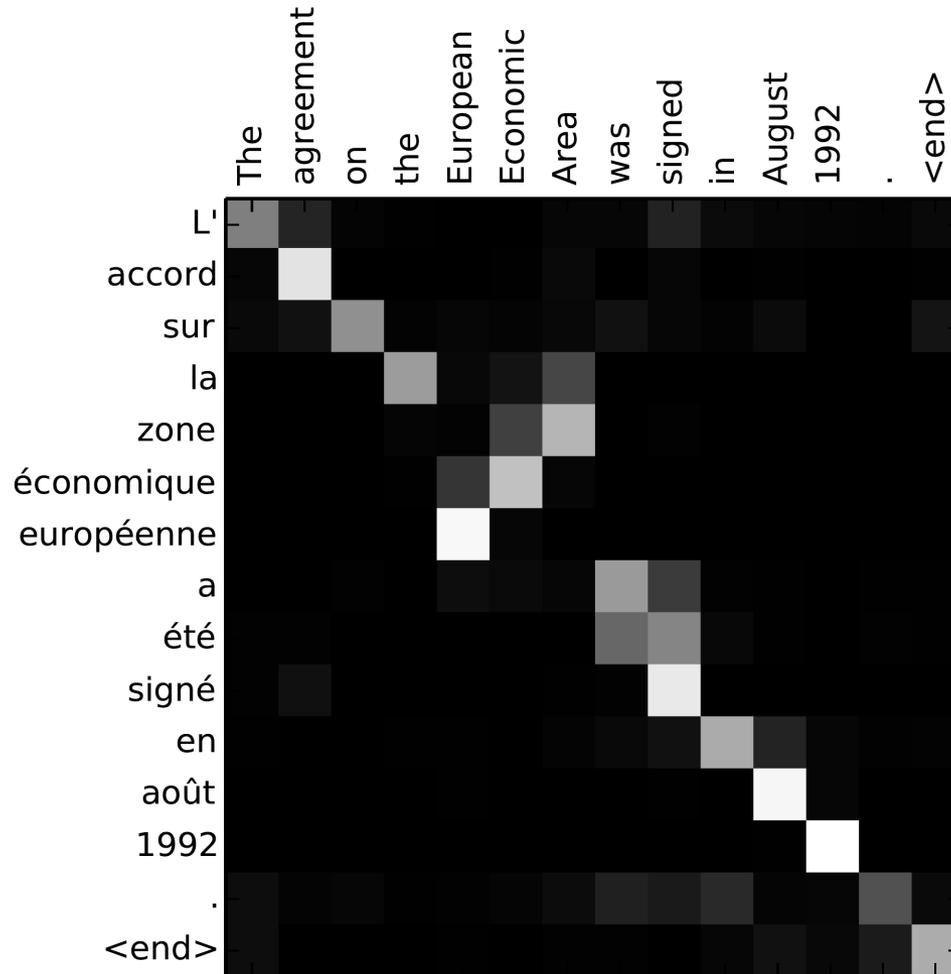
https://lenna-voita.github.io/nlp_course/seq2seq_and_attention.html

Attention Mechanism Improves Results



Neural Machine Translation by Jointly Learning to Align and Translate, Bahdanau et al 2014

Attention Mechanism Gives Insights



Neural Machine Translation by Jointly Learning to Align and Translate, Bahdanau et al 2014

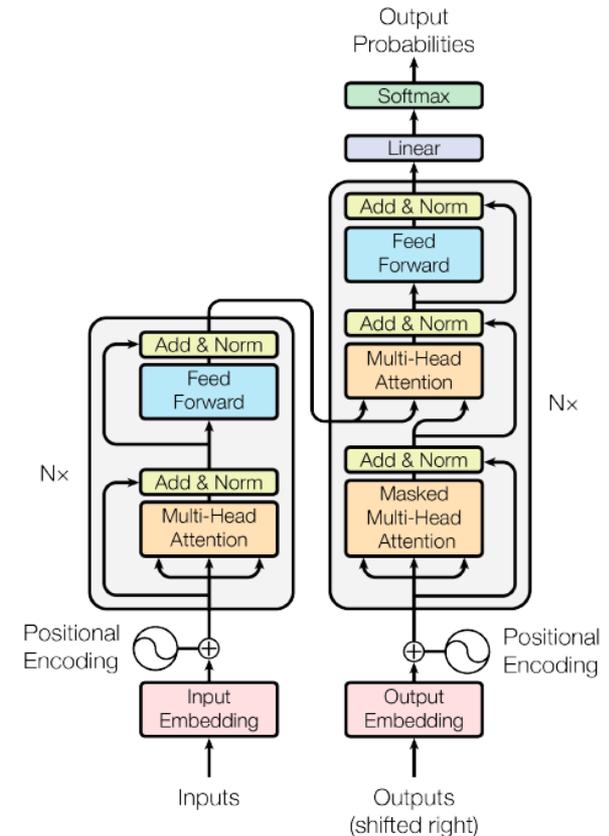
Outline

- Attention - A Recap
- **Transformers**
- Applications in NLP: Large language models

Attention is All You Need

- Published by Vaswani et al 2017
- No recurrence, no convolutions
- Only attention mechanism

Model	BLEU		Training Cost (FLOPs)	
	EN-DE	EN-FR	EN-DE	EN-FR
ByteNet [18]	23.75			
Deep-Att + PosUnk [39]		39.2		$1.0 \cdot 10^{20}$
GNMT + RL [38]	24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$
ConvS2S [9]	25.16	40.46	$9.6 \cdot 10^{18}$	$1.5 \cdot 10^{20}$
MoE [32]	26.03	40.56	$2.0 \cdot 10^{19}$	$1.2 \cdot 10^{20}$
Deep-Att + PosUnk Ensemble [39]		40.4		$8.0 \cdot 10^{20}$
GNMT + RL Ensemble [38]	26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$
ConvS2S Ensemble [9]	26.36	41.29	$7.7 \cdot 10^{19}$	$1.2 \cdot 10^{21}$
Transformer (base model)	27.3	38.1	$3.3 \cdot 10^{18}$	
Transformer (big)	28.4	41.8	$2.3 \cdot 10^{19}$	



Transformer

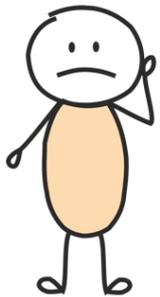
	Seq2seq without attention	Seq2seq with attention	Transformer
processing within encoder	RNN/CNN	RNN/CNN	attention
processing within decoder	RNN/CNN	RNN/CNN	attention
decoder-encoder interaction	static fixed-sized vector	attention	attention

https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

Attention Is All You Need

I arrived at the **bank** after crossing thestreet? ...river?

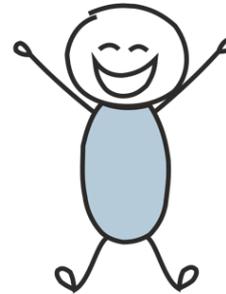
What does **bank** mean in this sentence?



I've no idea: let's wait until I read the end

RNNs

$O(N)$ steps to process a sentence with length N



I don't need to wait - I see all words at once!

Transformer

Constant number of steps to process any sentence

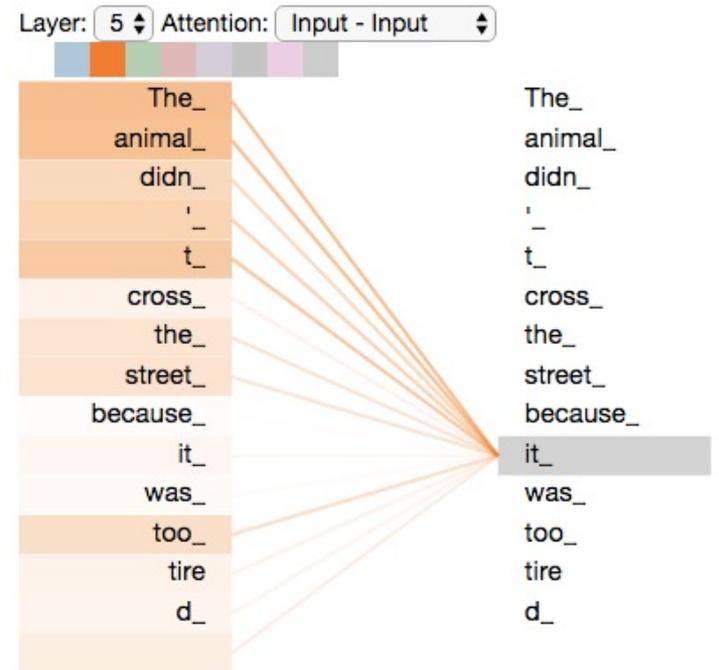
https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

Transformer - Tokens Look At Each Others

<https://blog.research.google/2017/08/transformer-novel-neural-network.html>

Self Attention – One Example

- One example:
 - When the model processes the word *it*, self attention allows resolving coreference resolution
 - In general, self attention allows to look at clues within a sentence to better represent each word in a sentence



<http://jalamar.github.io/illustrated-transformer/>

Self-Attention in Details

Each vector receives three representations (“roles”)

$$\begin{bmatrix} W_Q \end{bmatrix} \times \begin{bmatrix} \bullet \\ \bullet \\ \bullet \end{bmatrix} = \begin{bmatrix} \bullet \\ \bullet \\ \bullet \end{bmatrix}$$

Query: vector **from** which the attention is looking

“Hey there, do you have this information?”

$$\begin{bmatrix} W_K \end{bmatrix} \times \begin{bmatrix} \bullet \\ \bullet \\ \bullet \end{bmatrix} = \begin{bmatrix} \bullet \\ \bullet \\ \bullet \end{bmatrix}$$

Key: vector **at** which the query looks to compute weights

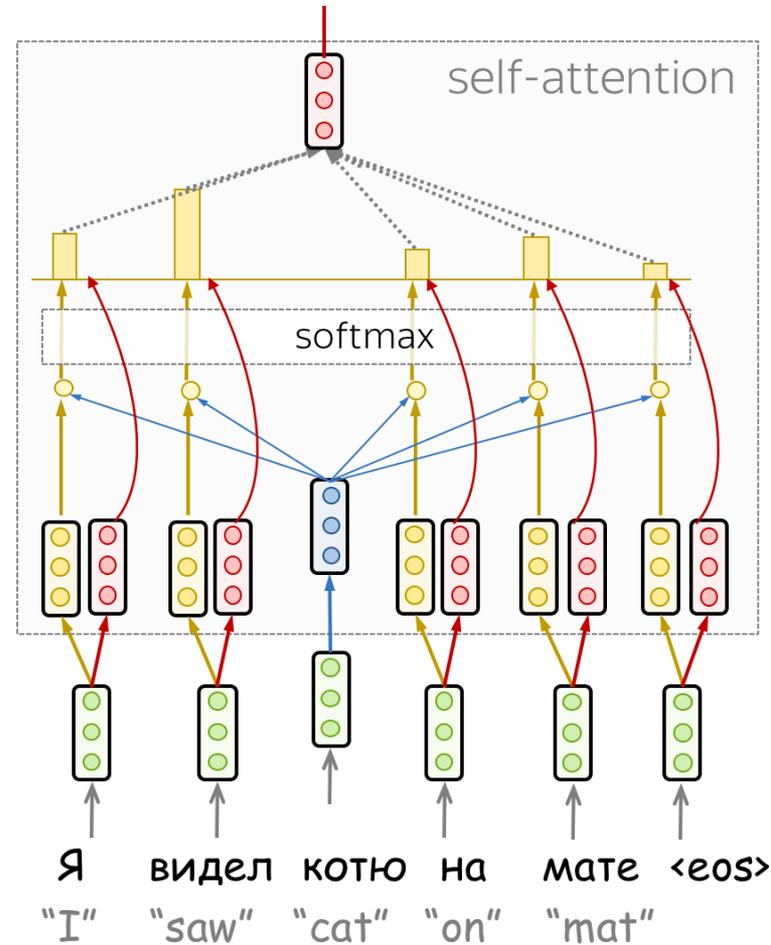
“Hi, I have this information – give me a large weight!”

$$\begin{bmatrix} W_V \end{bmatrix} \times \begin{bmatrix} \bullet \\ \bullet \\ \bullet \end{bmatrix} = \begin{bmatrix} \bullet \\ \bullet \\ \bullet \end{bmatrix}$$

Value: their weighted sum is attention output

“Here’s the information I have!”

https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html



Self Attention in Details

$$\textit{Attention}(q, k, v) = \overbrace{\textit{softmax}\left(\frac{qk^T}{\sqrt{d_k}}\right)}^{\text{Attention weights}} v$$

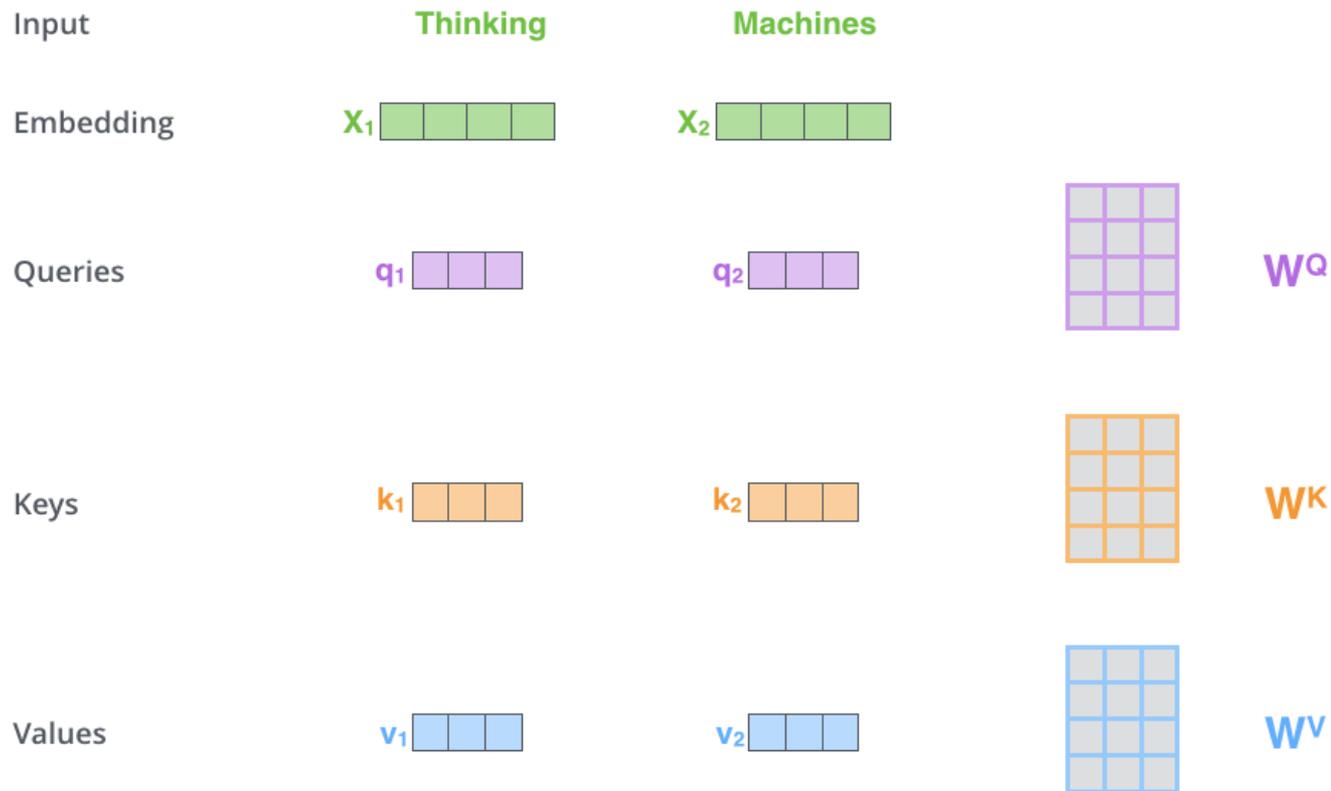
from to

vector dimensionality of K, V

https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

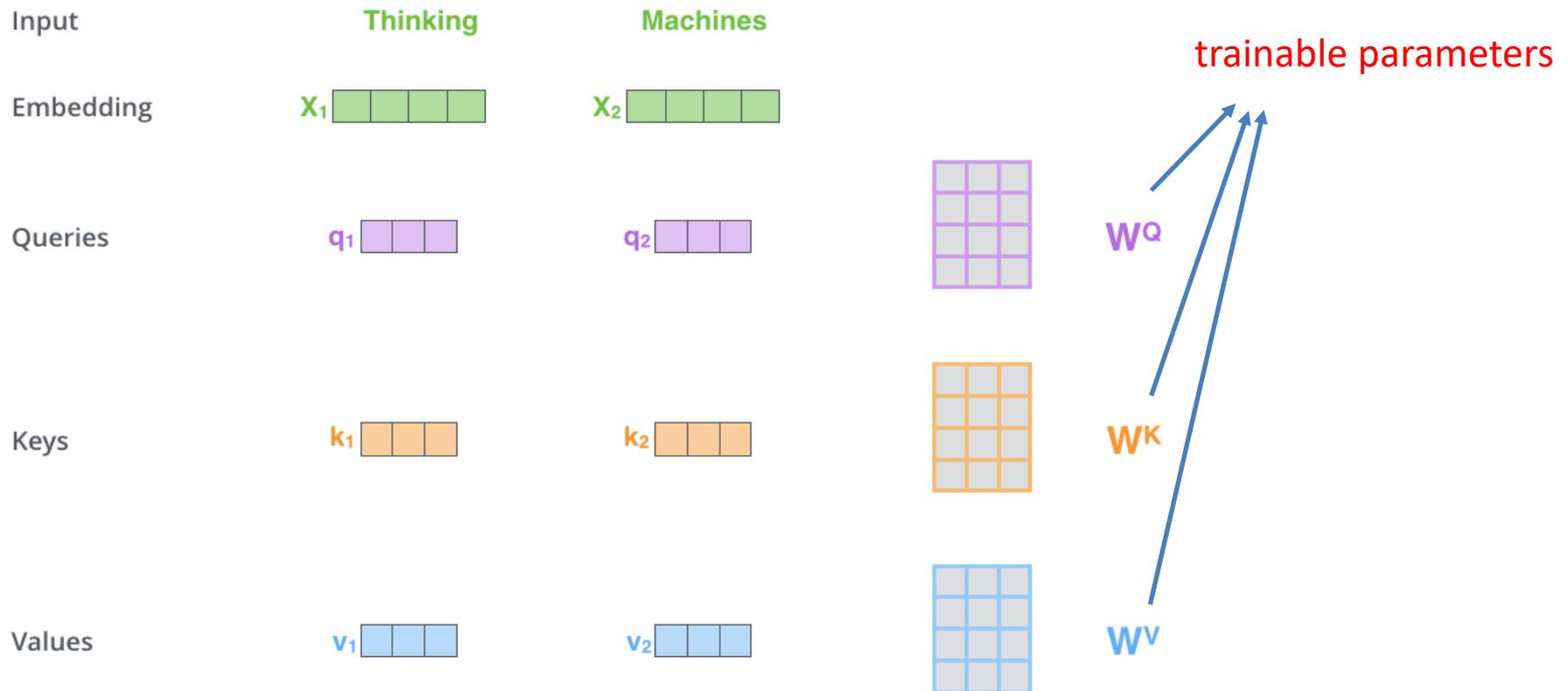
Self Attention in Details

- Step 1: Create *query*, *key* and *value* for each word



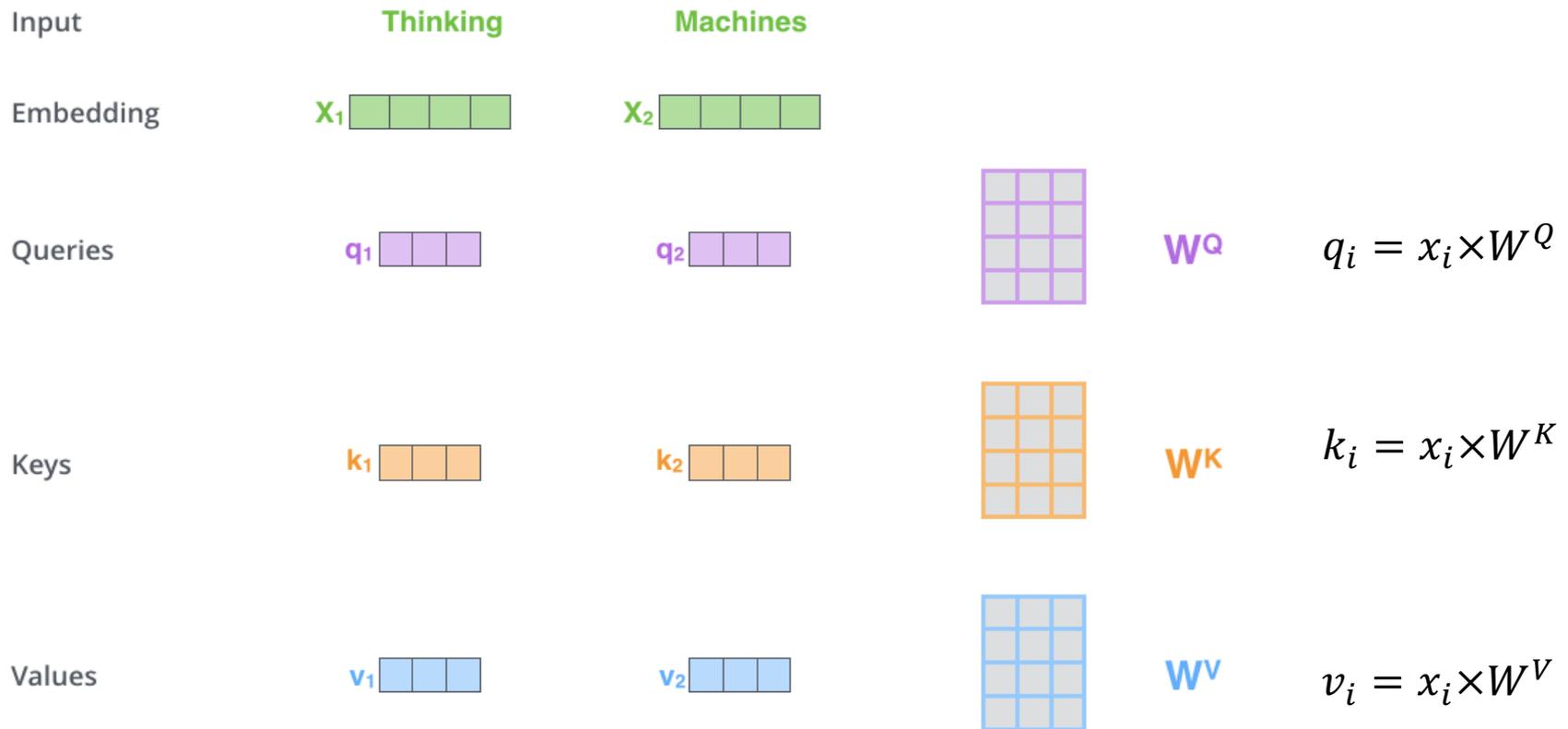
Self Attention in Details

- Step 1: Create *query*, *key* and *value* for each word



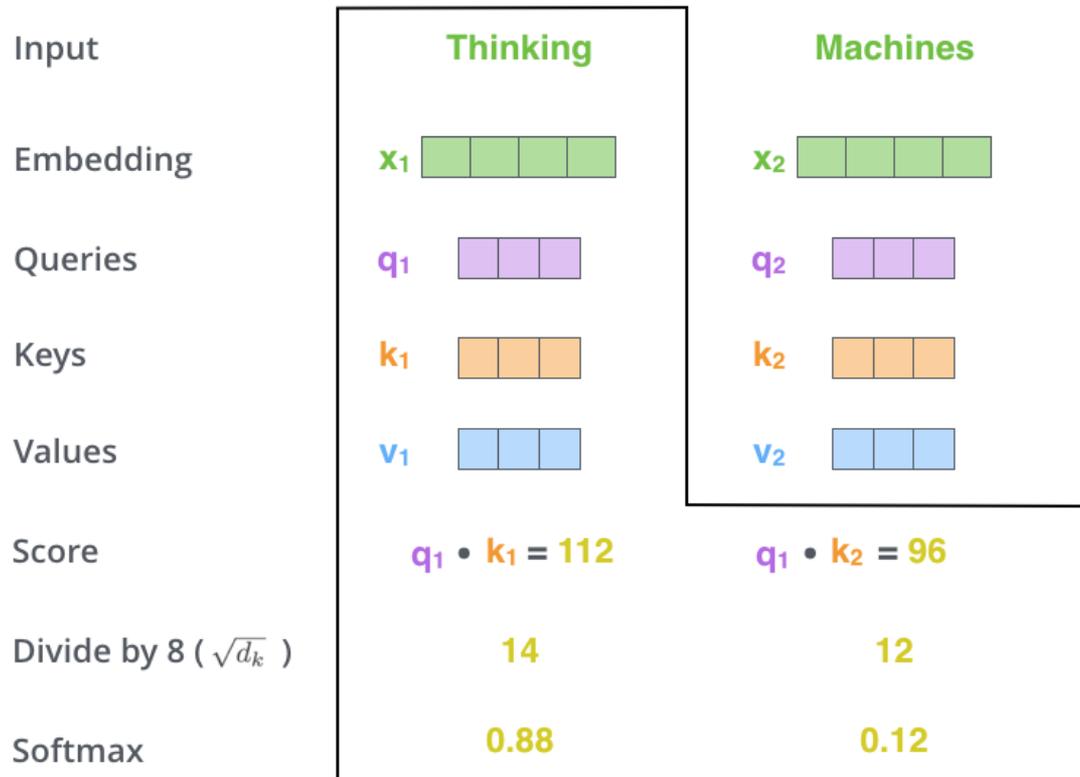
Self Attention in Details

- Step 1: Create *query*, *key* and *value* for each word



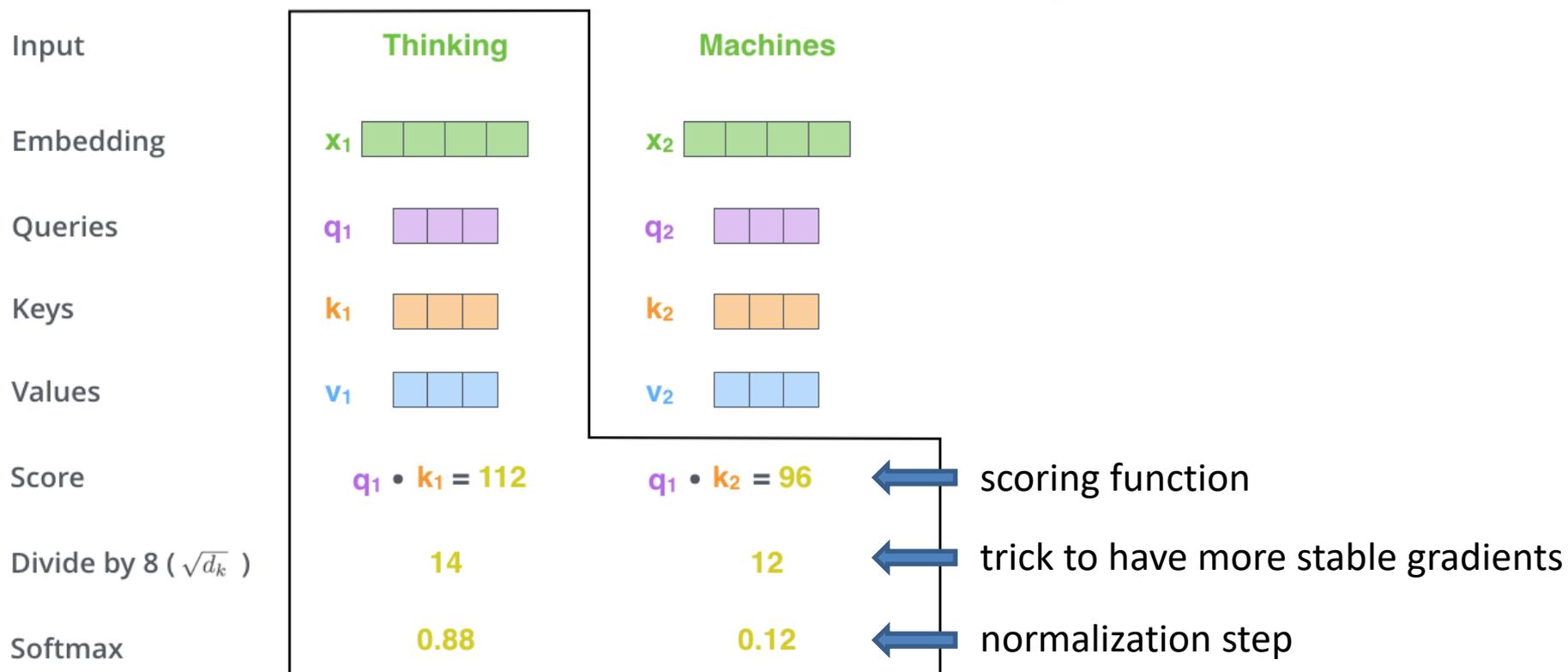
Self Attention in Details

- Step 2: Calculate scores for each word with others



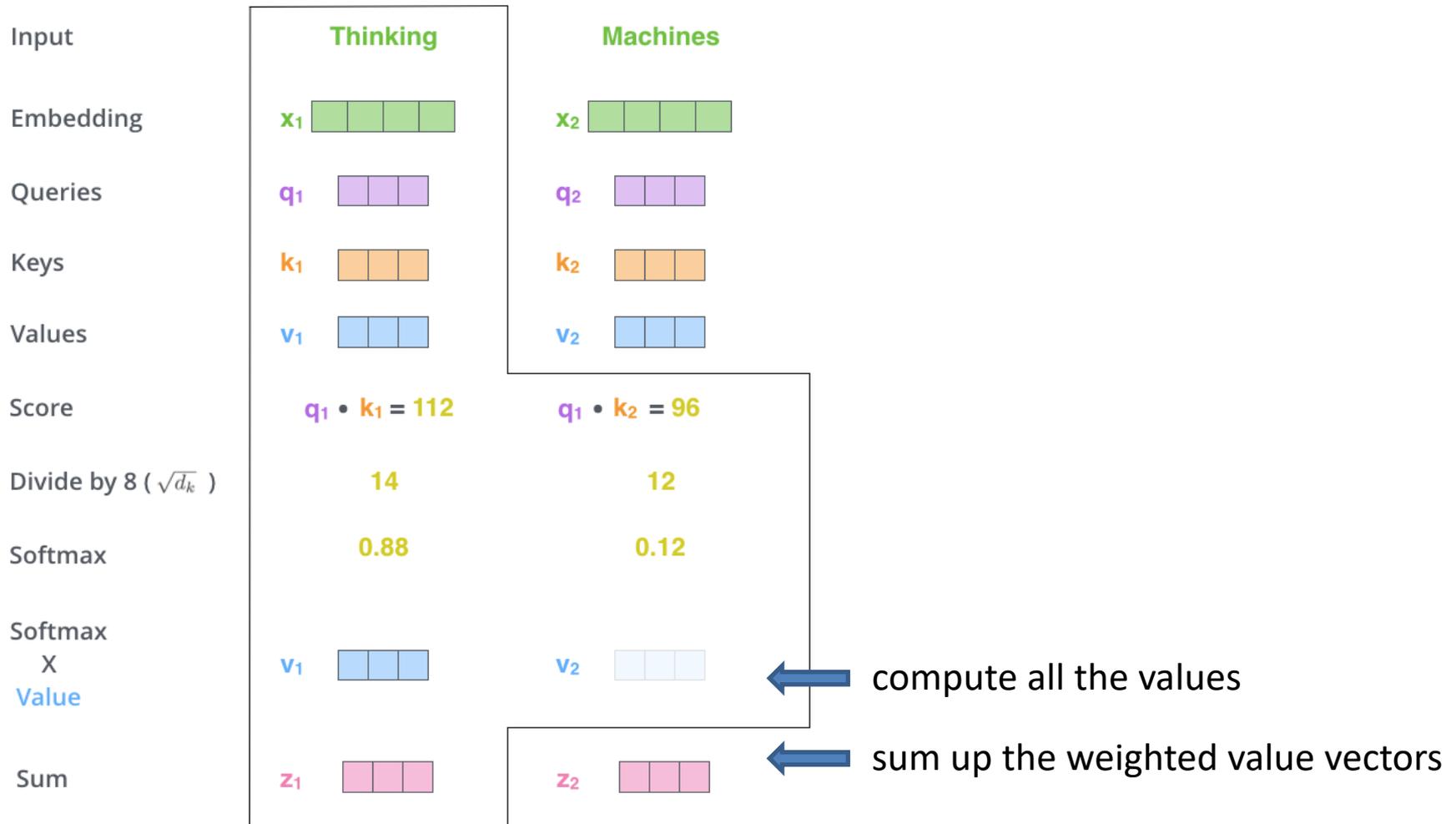
Self Attention in Details

- Step 2: Calculate scores for each word with others



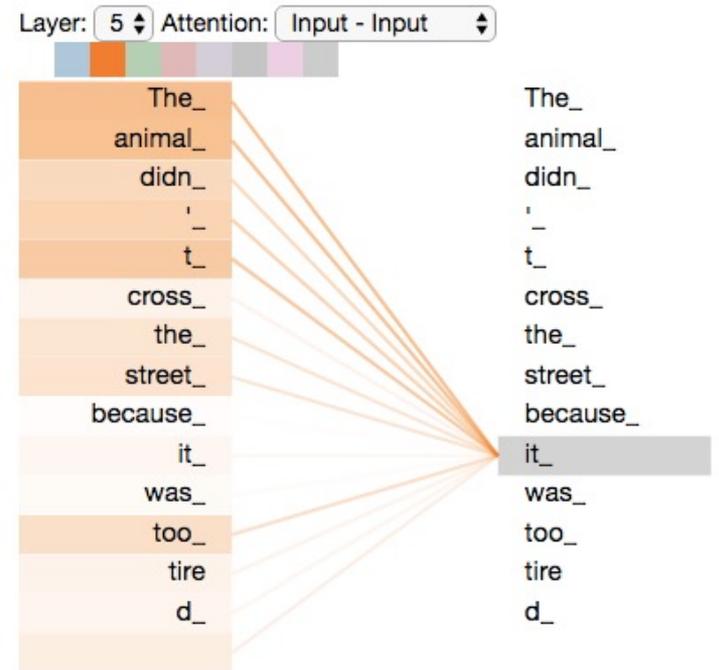
Self Attention in Details

- Step 3: Compute the values and the output



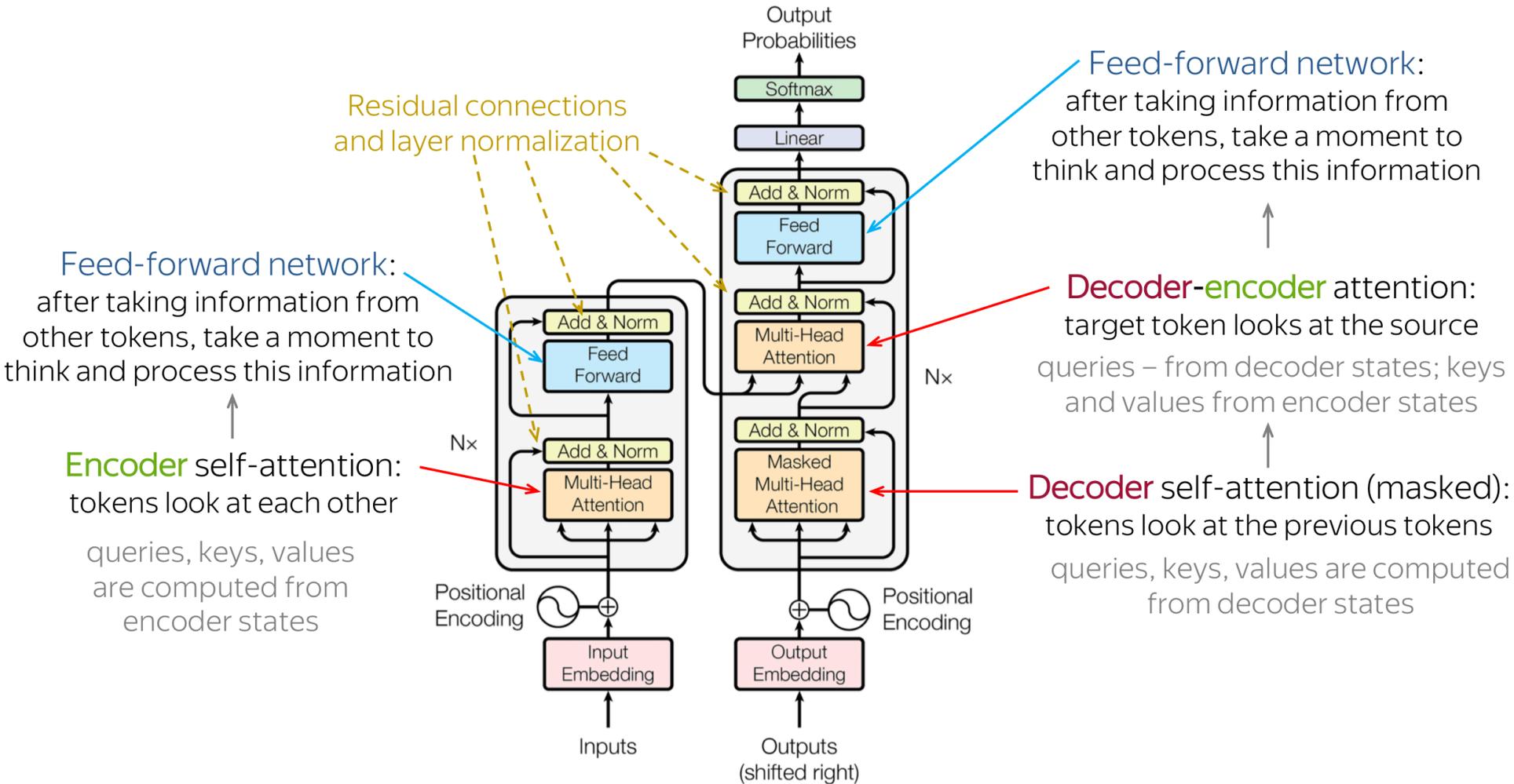
Self Attention – One Example

- One example:
 - When the model processes the word *it*, self attention allows resolving coreference resolution
 - In general, self attention allows to look at clues within a sentence to better represent each word in a sentence



<http://jalamar.github.io/illustrated-transformer/>

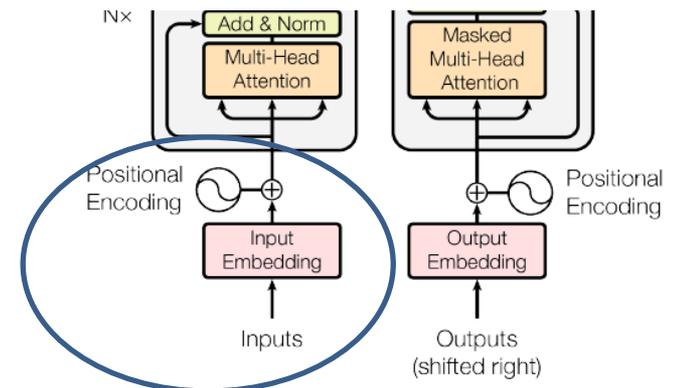
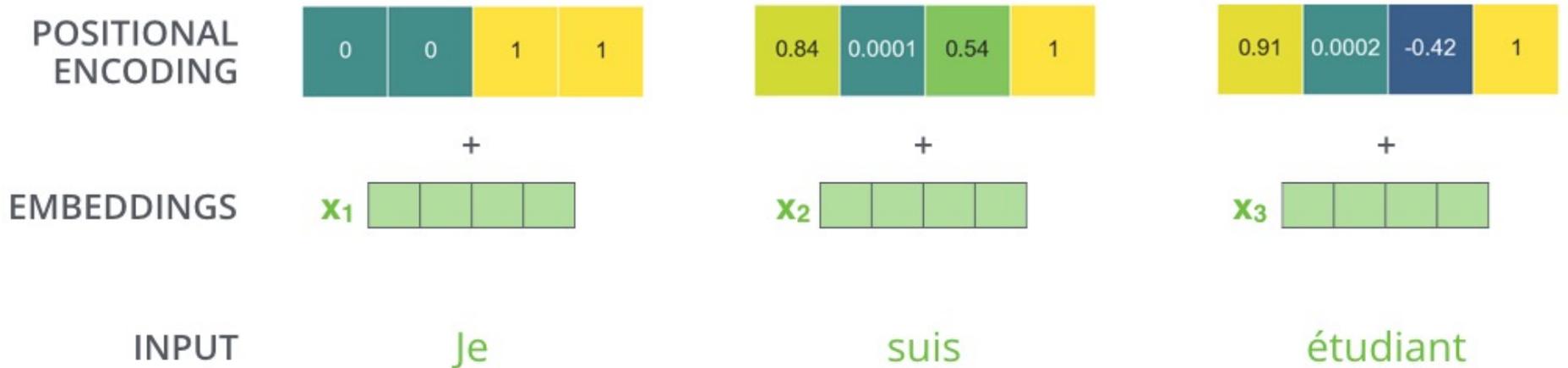
Transformer



https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

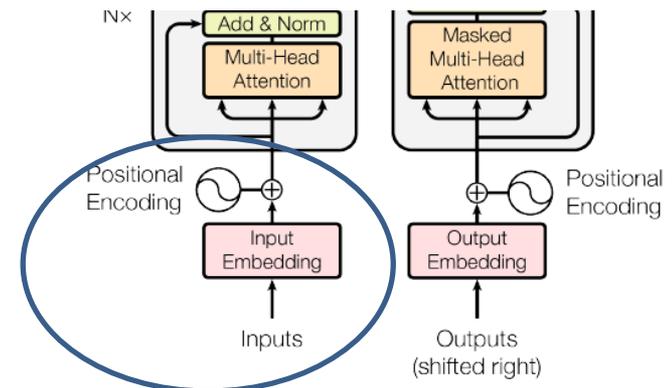
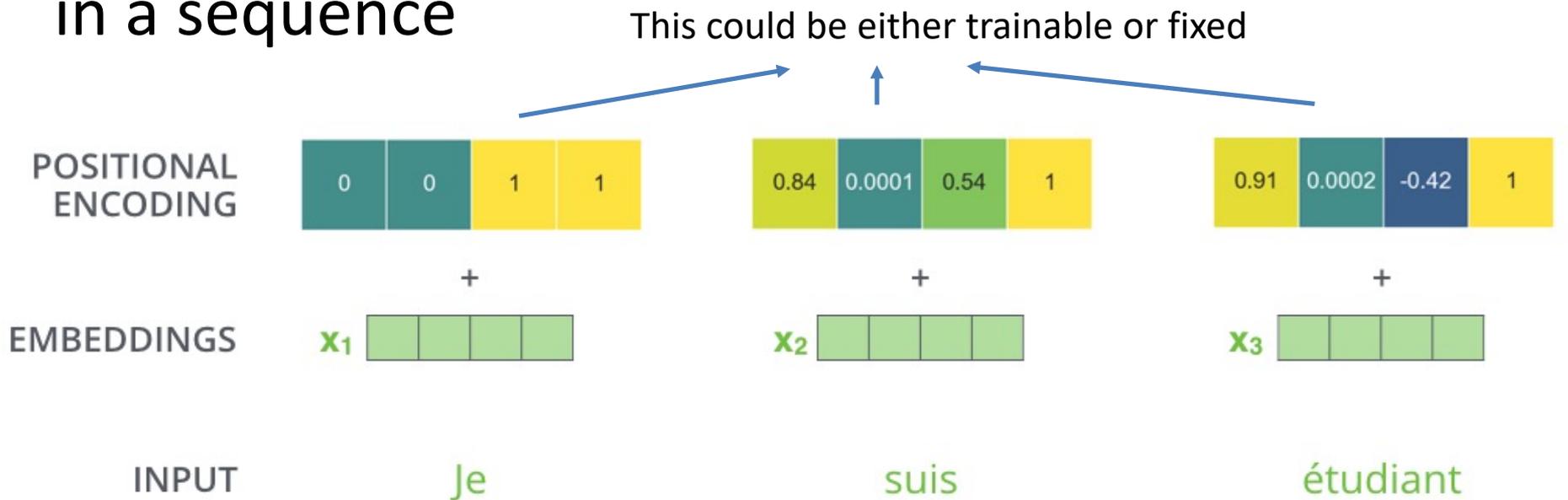
Positional Encoding

- Encode the relative position of words to each other in a sequence



Positional Encoding

- Encode the relative position of words to each other in a sequence

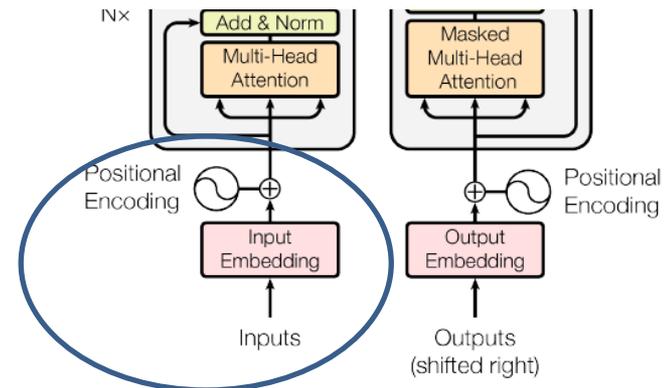
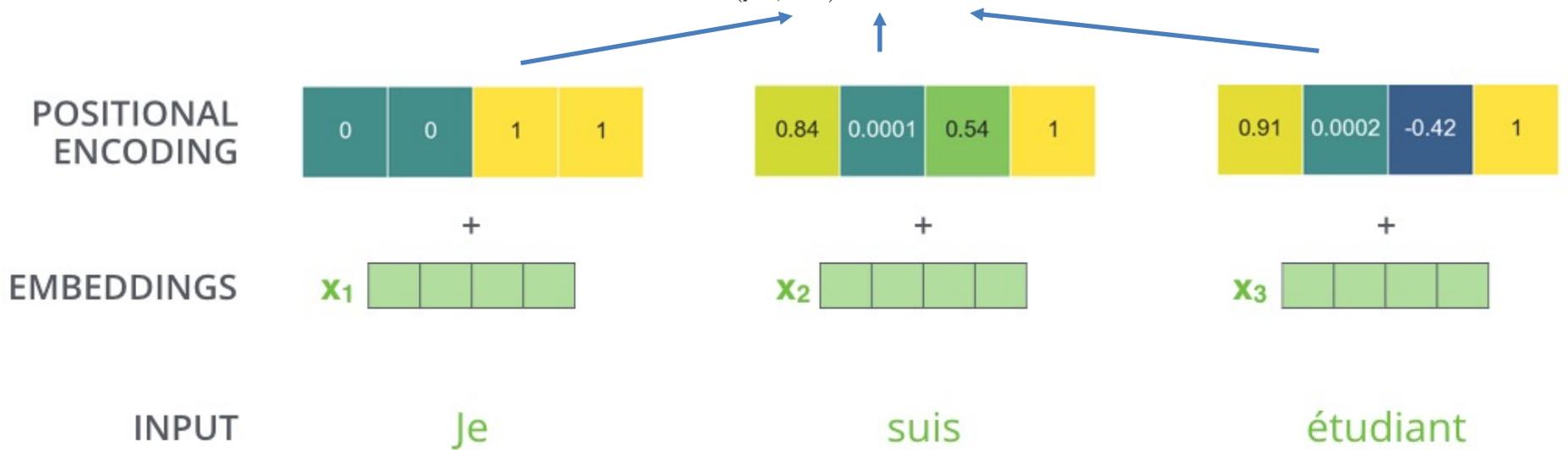


Positional Encoding

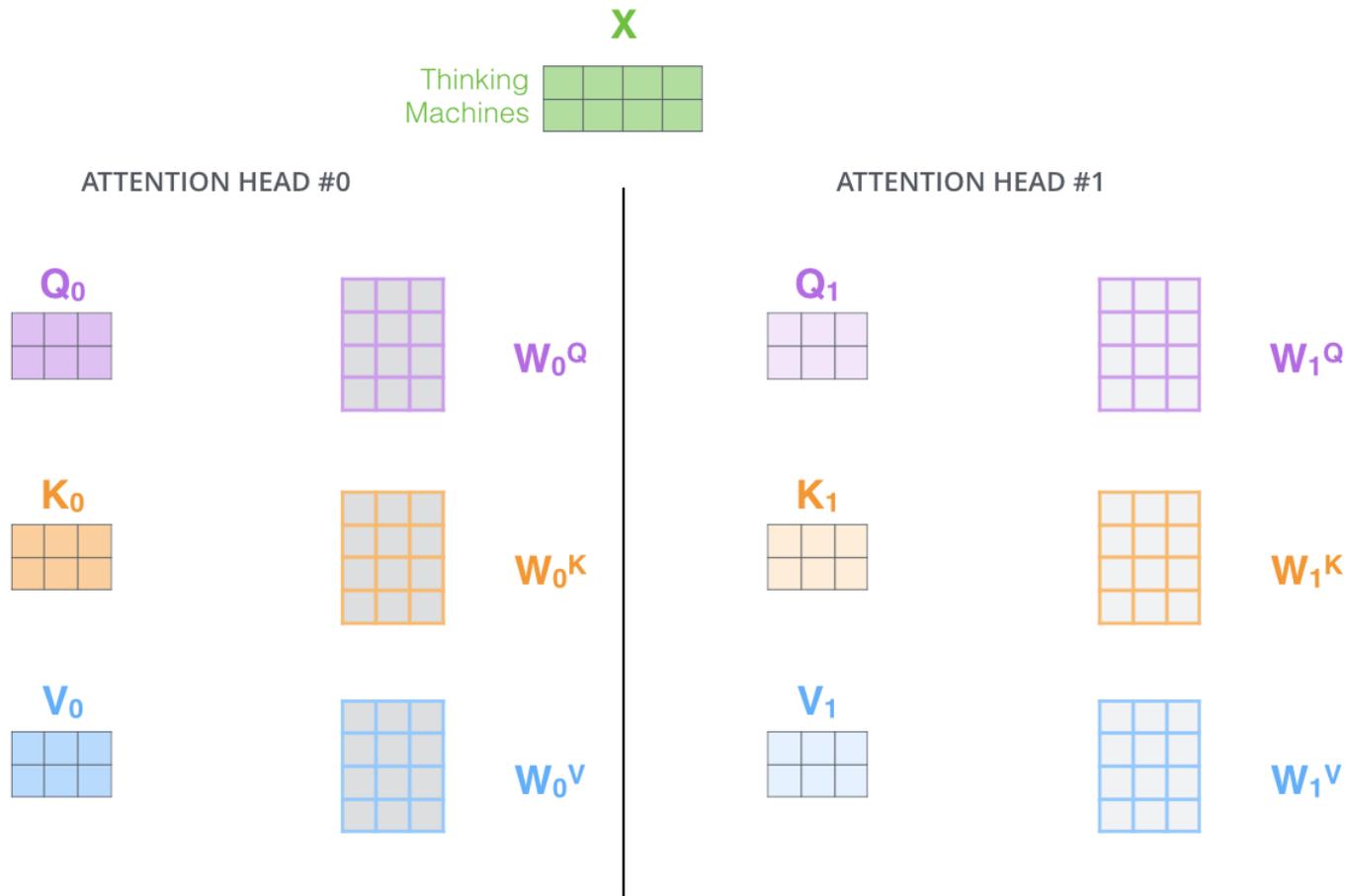
- Encode the relative position of words to each other in a sequence or position embeddings

$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/d_{model}})$$

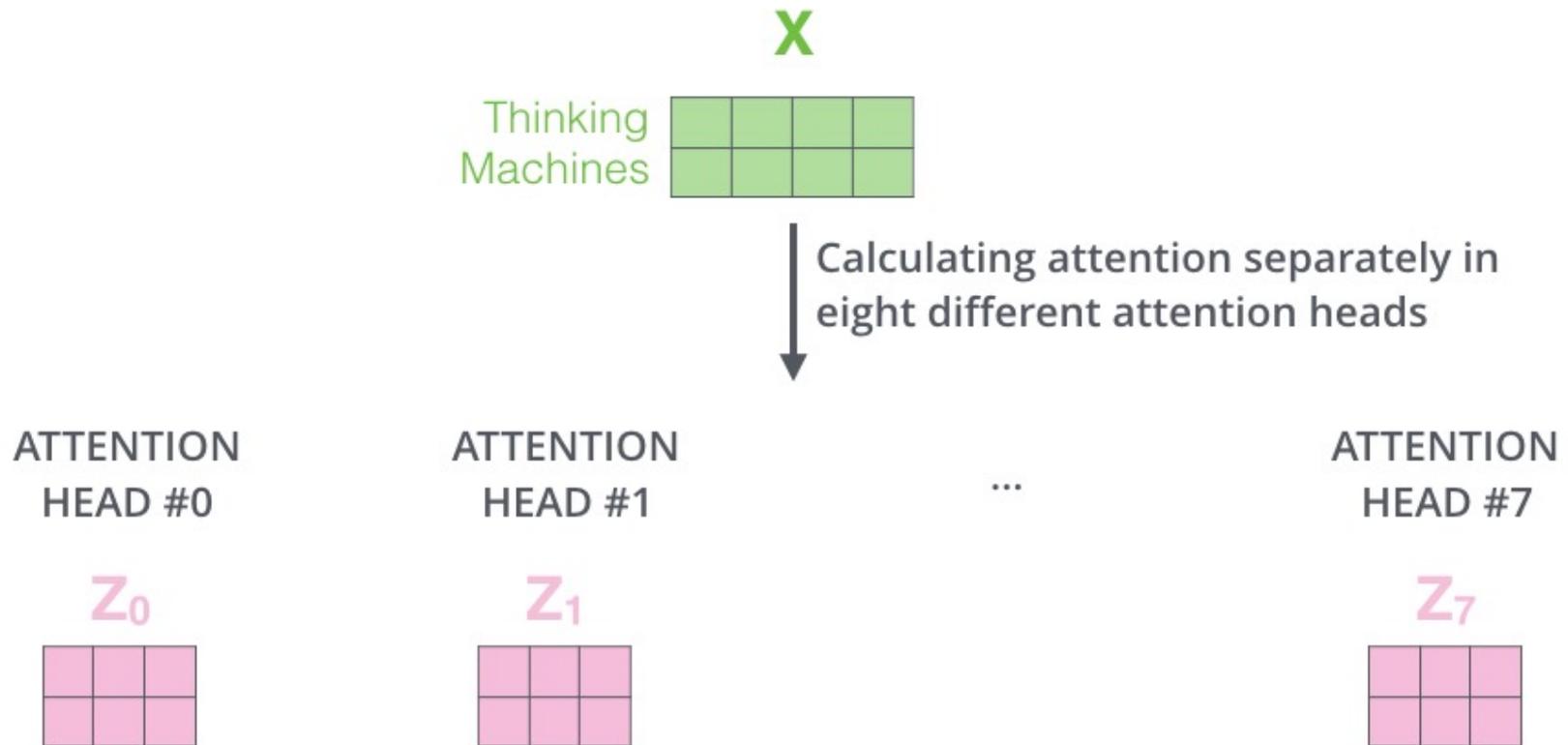
$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/d_{model}})$$



Multi-head Attention



Multi-head Attention



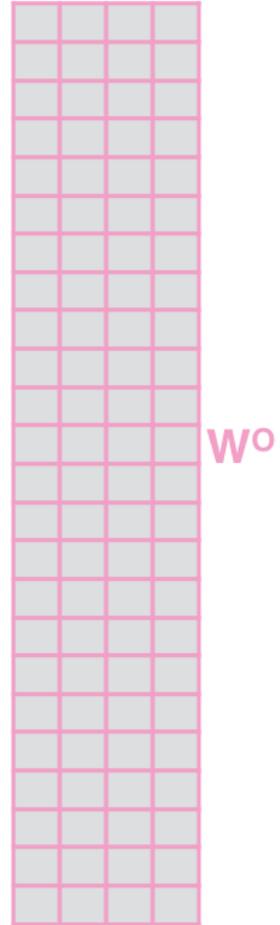
Multi-head Attention

1) Concatenate all the attention heads

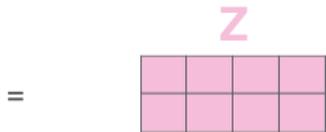


2) Multiply with a weight matrix W^O that was trained jointly with the model

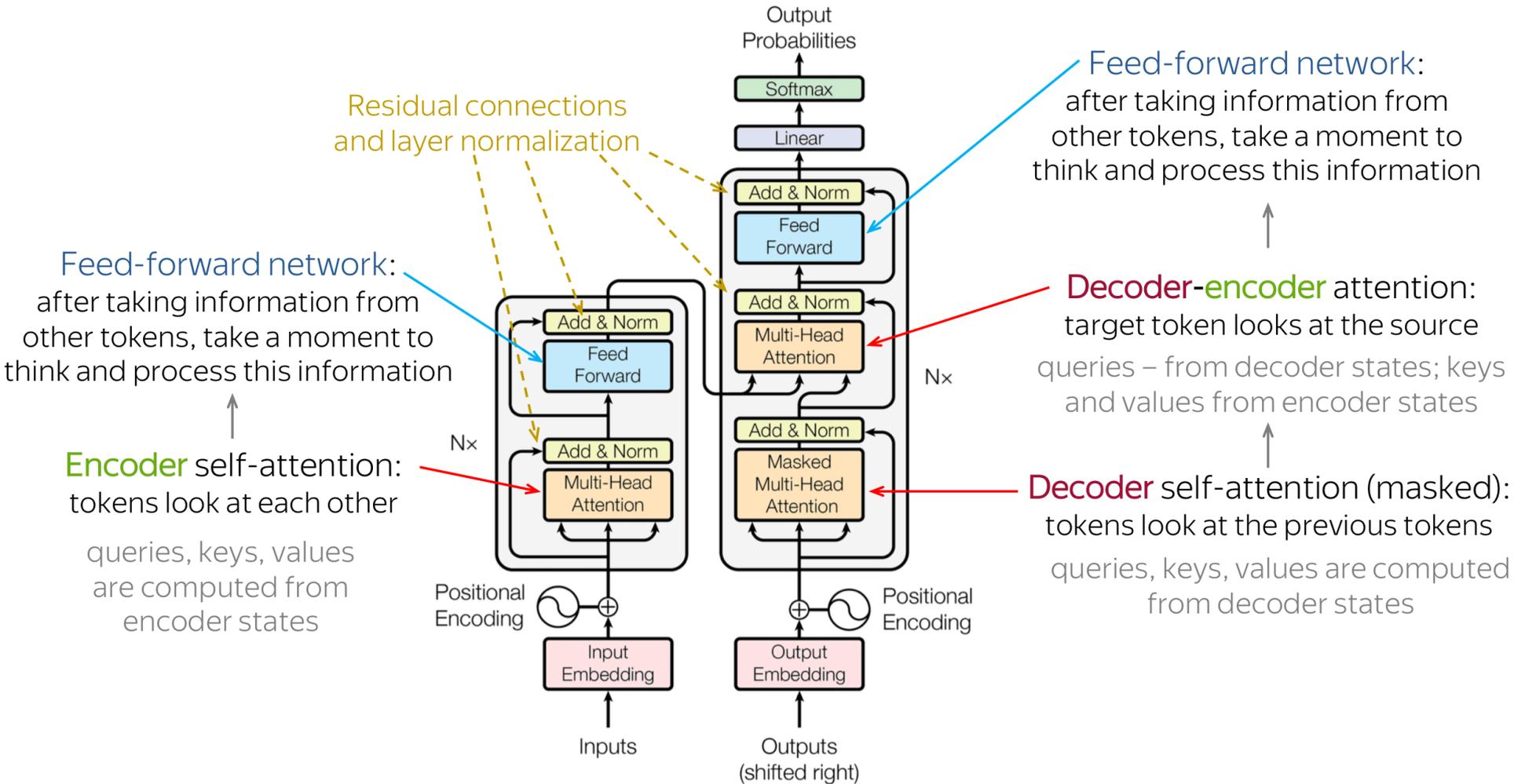
X



3) The result would be the Z matrix that captures information from all the attention heads. We can send this forward to the FFNN



Transformer



https://lena-voita.github.io/nlp_course/seq2seq_and_attention.html

Transformer: Advantages and Challenges

Complexity per Layer

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. n is the sequence length, d is the representation dimension, k is the kernel size of convolutions and r the size of the neighborhood in restricted self-attention.

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

Parallelization

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. n is the sequence length, d is the representation dimension, k is the kernel size of convolutions and r the size of the neighborhood in restricted self-attention.

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

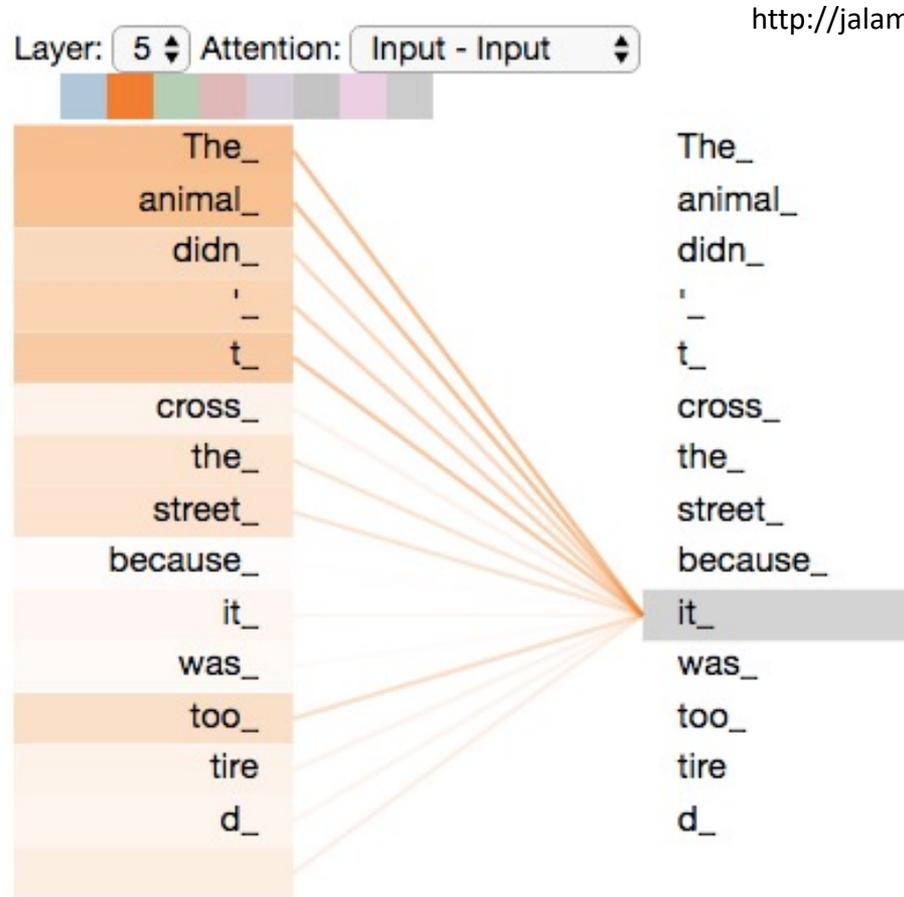
Handling Long-range Dependencies

- Learning long-range dependencies is a key challenge in many sequence to sequence tasks
- The length of the path between any combination of positions in the input and output is one key factor

Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. n is the sequence length, d is the representation dimension, k is the kernel size of convolutions and r the size of the neighborhood in restricted self-attention.

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

More Interpretable Models



- More in <https://github.com/jessevig/bertviz>

Outline

- Attention – A Recap
- Transformers
- **Applications in NLP: BERT**

Text Classification

- Problem settings:



- Some examples in a sentiment analysis task:

- This movie is great → positive

- There are many meaningless points in the documentary film → negative

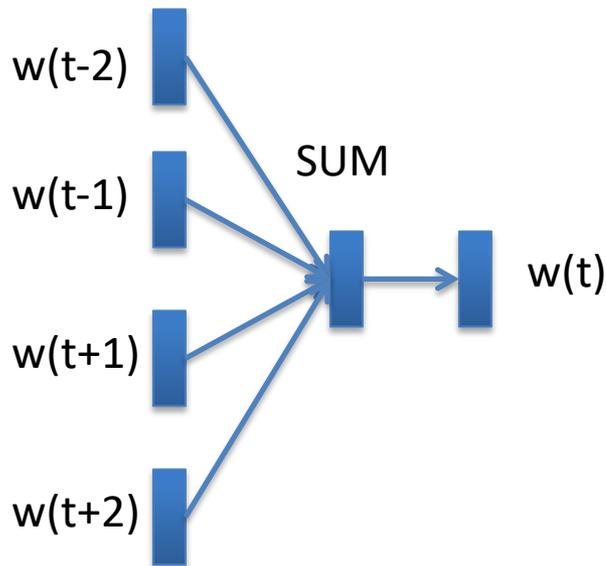
- Modern NLP methods:

- Leverage word embeddings (word → vectors)

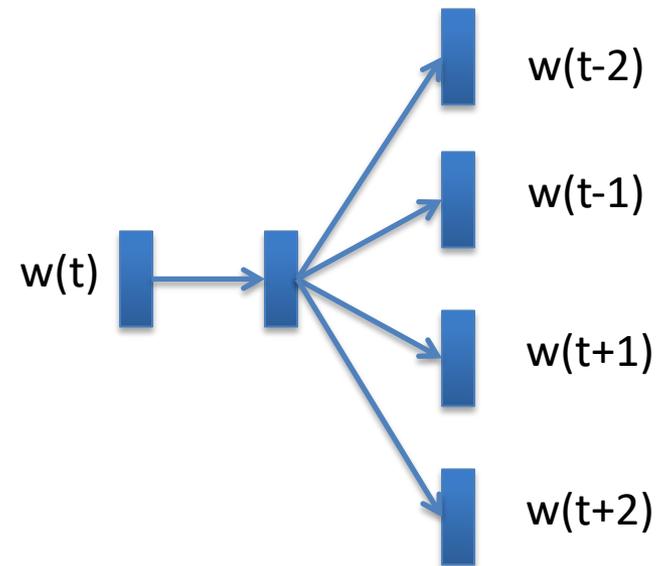
- Input text → matrices

Word Embeddings

- Word2vec - proposed by T. Mikolov 2013
- <https://code.google.com/p/word2vec/>



CBOW



Skip-gram

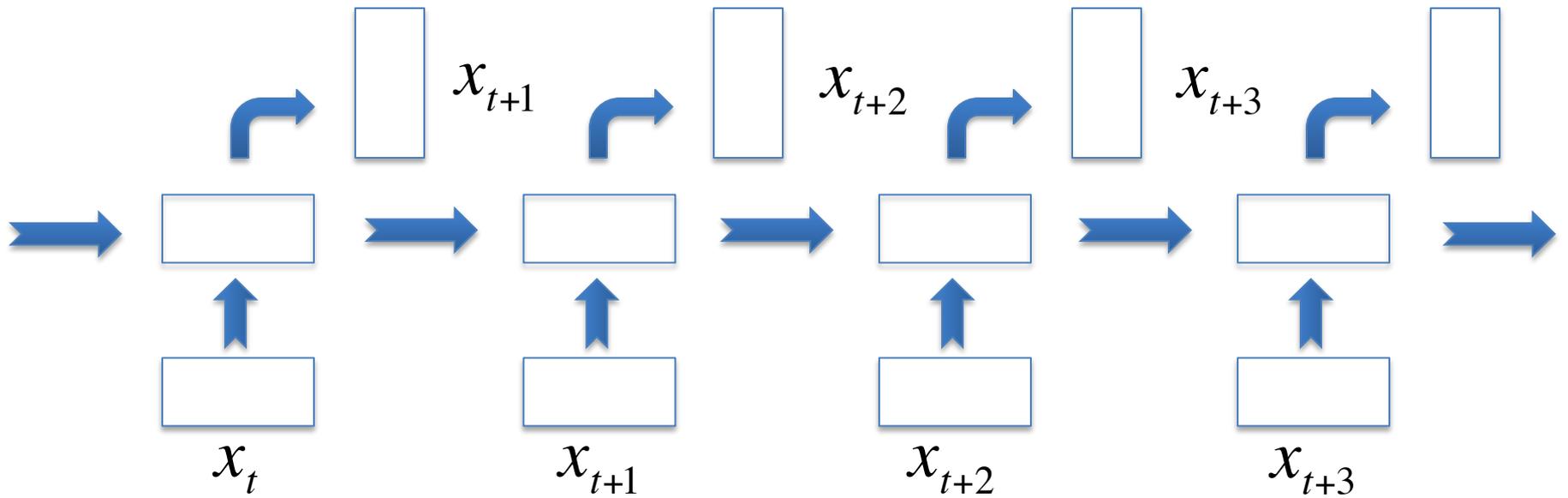
Word Embeddings

- Words have multiple senses, e.g.
 - She keeps all her money in a **bank**
 - She prefers to just sit on a **bank** and relax ..
- i.e. *one word : one vector* is not a good approach

Contextual Embeddings

- Instead of saving for each word a vector, save a model that can dynamically generate for each word a vector depending on its context
- Deep contextualized word representations
Peters et al 2018
 - The authors named their model - **ELMO**

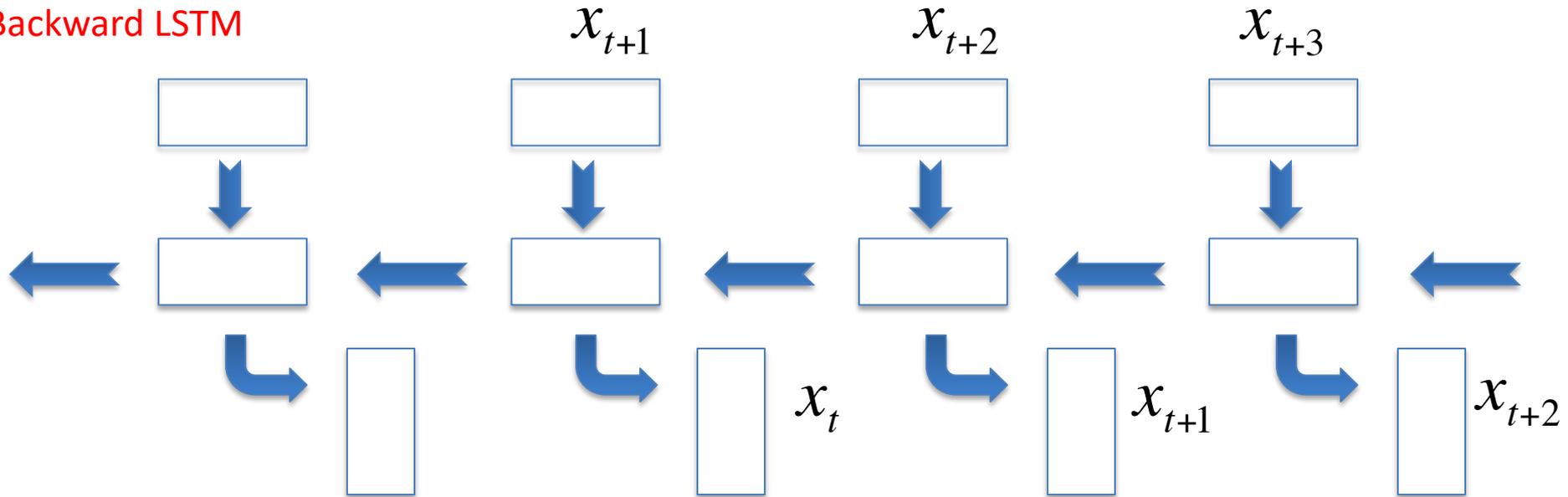
ELMO - BiLSTM



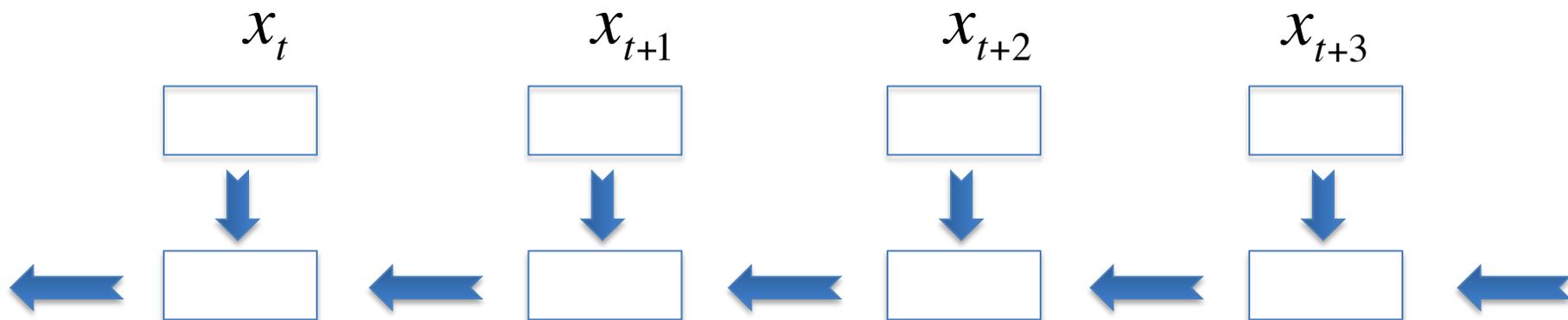
Forward LSTM

ELMO - BiLSTM

Backward LSTM



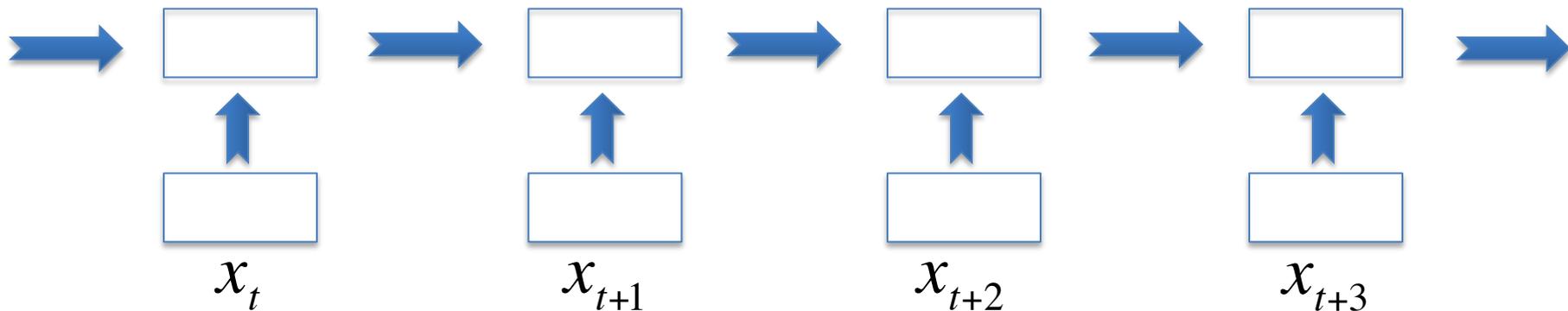
ELMO - BiLSTM



concatenation of the hidden states

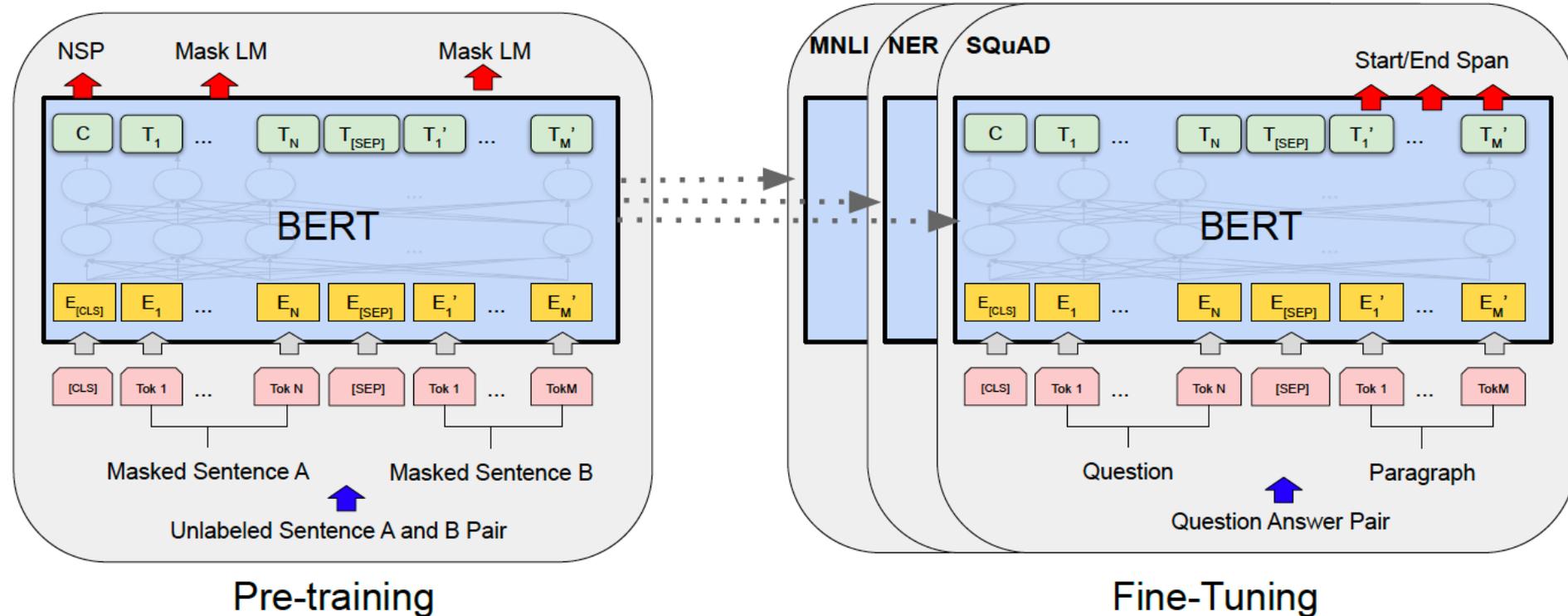


contextualized embeddings



BERT

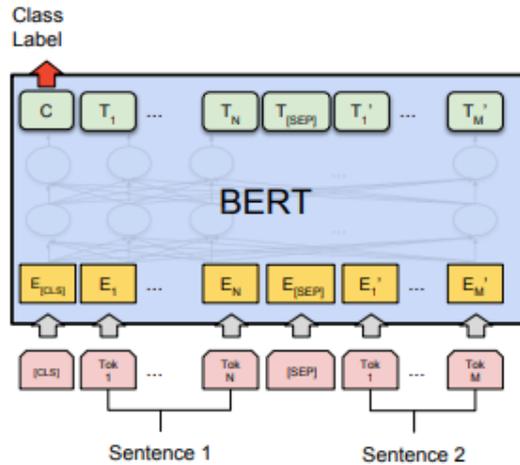
- BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding, Devlin et al 2019



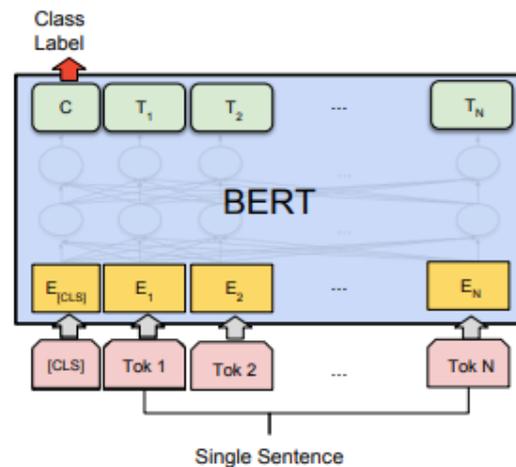
Contextual Embedding - BERT

- Replace the BiLSTM layers with a Transformer
- Two crucial changes in the objectives:
 - Masked language model
 - Randomly mask some percentages of tokens, e.g. 15%
 - Next sentence prediction task
- Pretrain it with a very large amount of training data and save the pre-trained model for further apps
- Pretrained BERT can be seen as a powerful feature extractor

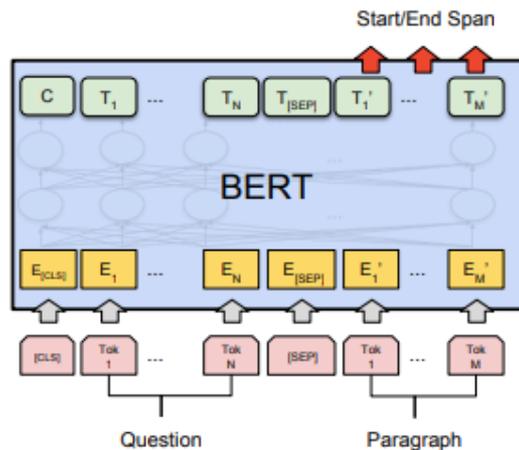
Fine-tuning BERT – Some Applications



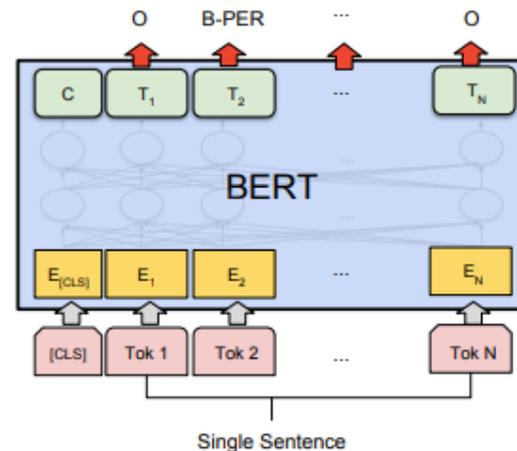
(a) Sentence Pair Classification Tasks:
MNLI, QQP, QNLI, STS-B, MRPC,
RTE, SWAG



(b) Single Sentence Classification Tasks:
SST-2, CoLA



(c) Question Answering Tasks:
SQuAD v1.1



(d) Single Sentence Tagging Tasks:
CoNLL-2003 NER

Fine-tuning BERT – Some Results

- Some results on GLUE benchmark dataset

System	MNLI-(m/mm) 392k	QQP 363k	QNLI 108k	SST-2 67k	CoLA 8.5k	STS-B 5.7k	MRPC 3.5k	RTE 2.5k	Average
Pre-OpenAI SOTA	80.6/80.1	66.1	82.3	93.2	35.0	81.0	86.0	61.7	74.0
BiLSTM+ELMo+Attn	76.4/76.1	64.8	79.8	90.4	36.0	73.3	84.9	56.8	71.0
OpenAI GPT	82.1/81.4	70.3	87.4	91.3	45.4	80.0	82.3	56.0	75.1
BERT _{BASE}	84.6/83.4	71.2	90.5	93.5	52.1	85.8	88.9	66.4	79.6
BERT _{LARGE}	86.7/85.9	72.1	92.7	94.9	60.5	86.5	89.3	70.1	82.1

- Many NLP systems are based on pretrained BERT
 - It works pretty well on a wide range of domains
 - It requires less training data than training a system from scratch

Thanks for listening!