

# Introduction to Deep Learning for Speech and Text Processing

## Exercise Sheet 6: Neural Networks

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21st November 2025

### 1 Warm-Up

We might want to build a social media monitoring tool that constantly analyzes data from Instagram and notifies them if a text is about bananas. Here are some example texts:

- 1) A banana a day keeps the doctor away.
- 2) I would rather have a sweet chocolate cookie now.
- 3) Banana! Banana! Banana!

In order to provide the texts as input to a statistical classifier, they need to map each to a fixed-length vector. A very common approach is to represent each word  $w_i$  in the input as a unit vector of the size of the vocabulary, where  $e_i = 1 \Leftrightarrow w_i$  is the  $i$ -th word in the vocabulary. This is called a **one-hot vector** representation. To obtain a representation for the complete input, i.e., the sequence of words in a tweet, we can simply sum the one-hot vectors for all words. This is often referred to as a **bag-of-words** representation.



#### Exercise 1.

Given the 4-word vocabulary

$V = \{v_0 : \text{chocolate}, v_1 : \text{sweet}, v_2 : \text{banana}, v_3 : \text{cookie}\}$ ,

compute the bag-of-words vector representations for the sample tweets (lowercase all words in the tweets, ignore the punctuation marks and use  $0^4$  as out-of-vocabulary vector):

- (1) Text 1
- (2) Text 2
- (3) Text 3

### 2 Feed-forward Neural Network

You might come up with the banana network depicted in figure 1b. Note that in the banana network, the hidden layers are displayed conflated. Each hidden layer  $l$  consists of first linear activation of the input  $a^{l-1}$  with  $z^l = W^l a^{l-1} + b^l$  and then non-linear activation, such that  $a^l = f^l(z^l)$  as shown in the left figure below.

#### Exercise 2.

- (1) How many parameters does the banana network have in total, if it has 300 units in the first hidden layer and 200 units in the second hidden layer?
- (2) Derive the function to compute the output  $y$  of this network, given an input vector  $x$ .
- (3) Compute the output of the network for the first text from Exercise 1. In order to make the computation by hand feasible, use a smaller network with the following parameters:

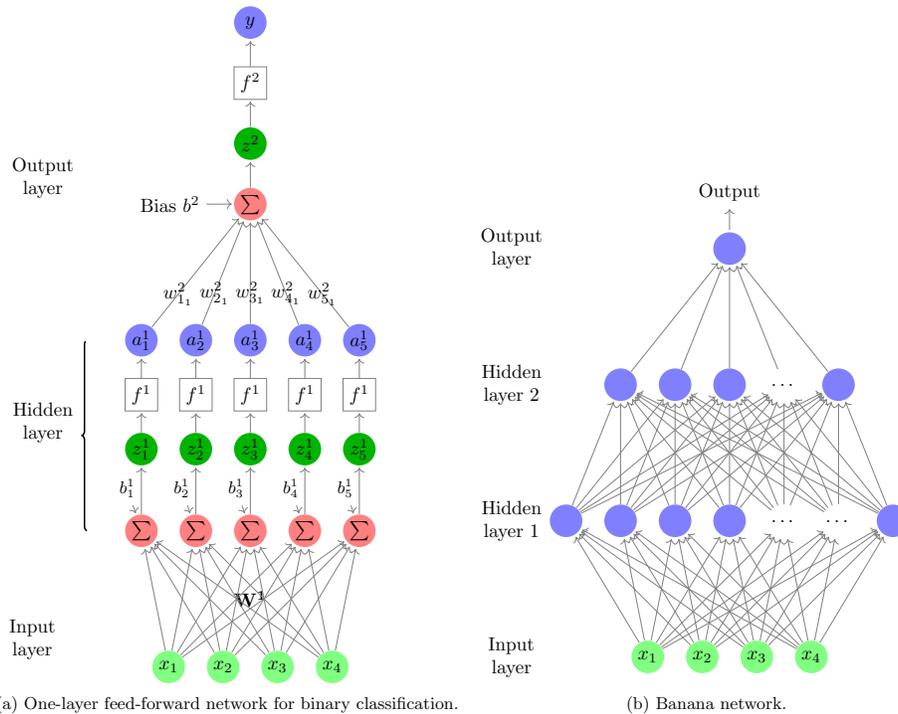


Figure 1: Visualization of a single layer neural network (a) and our banana network (b) consisting of multiple layers.

$$W^1 \in \mathbb{R}^{3 \times 4} = \begin{bmatrix} 0 & 1 & -2 & 1 \\ 2 & 3 & 0 & -1 \\ 1 & 0 & -3 & 0 \end{bmatrix}, b^1 \in \mathbb{R}^3 = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix},$$

$$W^2 \in \mathbb{R}^{2 \times 3} = \begin{bmatrix} 0 & -2 & 1 \\ 2 & 0 & -1 \end{bmatrix}, b^2 \in \mathbb{R}^2 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}, W^3 \in \mathbb{R}^{1 \times 2} = [-1 \quad 1], b^3 \in \mathbb{R} = 1$$

For the first two layers, we use the Rectified Linear Unit (ReLU) as activation function, i.e.,  $f^1(z) = f^2(z) = \text{ReLU}(z) = \max(0, z)$ . The last layer has non-linear activation with the sigmoid, i.e.,  $f^3(z) = \sigma(z) = \frac{1}{1+e^{-z}}$ . Give the resulting network output.

### 3 Multi-class Classification

Now we want to extend the binary banana-classifier to a multi-class classifier that can detect texts about cookies and bananas at the same time.

#### Exercise 3.

- (1) Extend the network from Exercise 2 to the multi-class case with a single correct class and 3 outputs,

$$y = \begin{bmatrix} \text{p(banana)} \\ \text{p(cookie)} \\ \text{p(other)} \end{bmatrix}.$$

Hint: You only have to change the output layer.

- (2) Compute the outputs from the extended network for the first text of Exercise 1 using the bag-of-words representation with vocabulary  $V$ , the same weights for  $W^1, W^2$  and bias values  $b^1, b^2$  as in Exercise 2.3 and

$$W^3 = \begin{bmatrix} 1 & 1 \\ -1 & 2 \\ 0 & -1 \end{bmatrix}, b^3 = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}.$$

## 4 Error Computation

Now we want a numerical measure to assess how well their classifier does. We can do this by the means of a cost or error function  $C$  that compares the network's predicted probability distribution over  $N$  classes  $\hat{y} \in \mathbb{R}^N$  with a ground truth  $y \in \mathbb{R}^N$  and yields a scalar  $c$ , the cost. Here, we use cross-entropy as a common choice for classification:

**Cross-Entropy (CE)**  $c_{\text{CE}} = -\sum_{i=0}^{N-1} y_i \ln \hat{y}_i = -\ln \hat{y}_t$ , where  $t$  is the correct class

### Exercise 4.

- (1) Compute the loss for the output of the multiclass classification network for the first text from exercise 3.2 assuming 'banana' as correct class.
- (2) For the backward pass, we successively compute the derivatives starting from the last layer. Compute  $\delta^L$  for the previously computed loss.

## 5 Stochastic Gradient Descent

We will now perform the backward pass:

### Exercise 5.

- (1) For the parameters  $W^3$

- (1) compute the gradient of  $W^3$  with the result being the matrix

$$\begin{bmatrix} \frac{\partial c}{\partial w_{11}^3} & \frac{\partial c}{\partial w_{12}^3} \\ \frac{\partial c}{\partial w_{21}^3} & \frac{\partial c}{\partial w_{22}^3} \\ \frac{\partial c}{\partial w_{31}^3} & \frac{\partial c}{\partial w_{32}^3} \end{bmatrix}$$

- (2) perform a gradient update step using these derivatives with  $\eta = 0.1$ .
- (2) For the parameters  $W^2$ 
  - (1) compute the gradient of  $W^2$  analog to the previous task.
  - (2) perform a gradient update step using these derivatives with  $\eta = 0.1$ .
- (3) For the parameters  $W^1$ 
  - (1) compute the gradient of  $W^1$  analog to the previous task.
  - (2) perform a gradient update step using these derivatives with  $\eta = 0.1$ .